Thermoelectric properties and electronic structure of Cr(Mo, V)Nₓ thin films studied by synchrotron and lab-based x-ray spectroscopy

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Chromium-based nitrides are used in hard, resilient coatings and show promise for thermoelectric applications due to their combination of structural, thermal, and electronic properties. Here, we investigate the electronic structures and chemical bonding correlated to the thermoelectric properties of epitaxially grown chromium-based multicomponent nitride Cr(Mo, V)Nₓ thin films. The small amount of N vacancies causes Cr 3d and N 2p states to appear at the Fermi level and reduces the band gap in Cr_{0.51}N_{0.49}. Incorporating holes by alloying of V in N-deficient CrN results in an enhanced thermoelectric power factor with marginal change in the charge transfer of Cr to N compared with Cr_{0.51}N_{0.49}. Further alloying of Mo, isoelectronic to Cr, increases the density of states at the Fermi level due to hybridization of the (Cr, V) 3d and Mo 4d-N 2p states in Cr(Mo, V)Nₓ. This hybridization and N off-stoichiometry result in more metal-like electrical resistivity and reduction in Seebeck coefficient. The N deficiency in Cr(Mo, V)Nₓ also depicts a critical role in reduction of the charge transfer from metal to N site compared with Cr_{0.51}N_{0.49} and Cr_{0.50}V_{0.03}N_{0.47}. In this paper, we envisage ways for enhancing thermoelectric properties through electronic band engineering by alloying and competing effects of N vacancies.

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I. INTRODUCTION

Chromium nitride (CrN) is important in a range of existing and prospective applications due to its combination of structural, mechanical, magnetic, and electronic properties [1]. CrN undergoes a magnetoostructural transition from a paramagnetic B1 NaCl (rocksalt) type of structure (Fm\̅3m) at room temperature to an antiferromagnetic orthorhombic structure (Pnma) at ~260–285 K temperature regime [2–4] accompanied by a debatable semiconducting/insulating-to-metallic transition [4–6]. Given that CrN is based on abundant and relatively cheap raw materials, it is promising for large-scale production, and CrN-based thin films have long been in use in hard-coating applications [7–9].

Conventional thermoelectric materials such as tellurides and antimonides are used to harvest thermoelectric power from waste energy [10–12] but are limited because of scarcity and toxicity of the constituent elements [13,14]. An emerging class of alternative materials are transition metal nitrides, particularly those based on ScN and CrN [15,16]. With a thermoelectric power factor of 1.5–5 mW m⁻¹ K⁻² and relatively low thermal conductivity of 2–4 W m⁻¹ K⁻¹ due to strong spin-lattice coupling [17,18], CrN is comparable with conventional Bi₂Te₃ and PbTe [1,19]. Thermoelectric properties, i.e., the Seebeck coefficient (S), electrical conductivity (σ), and thermal conductivity (κ) are strongly coupled and hence hard to optimize. Generally, reducing dimensionality of materials, inducing defects (hole/electron doping or grain boundaries) by alloying, or tuning the atomic masses of alloying elements are alternatives to improve the thermoelectric properties of the parent compound [20–23]. Moreover, inducing point defects (vacancy, impurity, or interstitial) could further reduce the thermal conductivity by increasing the phonon scattering as has been observed for other traditional thermoelectric materials [24–26]. The generalized findings are valid for chromium-based multicomponent nitrides compared with binary CrN, manifesting higher hardness [27], thermal stability [28], and enhanced thermoelectric performances [29].

However, the thermoelectric performances are strongly correlated to the electronic structure of the final compound. Theoretical band structure calculations reveal a local and sharp increase of the density of states (DOS) near the Fermi level (E_F) for any thermoelectric materials [30]. Hence, to gain improved power factor (S²σ), the DOS should be as large as possible around E_F for increased σ and as asymmetric as possible to achieve the best S [31]. It occurs due to the resonance of either the valence or conduction band of the host semiconductor with an energy level of the localized atom in the compound and can be explained to a first approximation by the Mott equation [21,30]:

\[
S = \frac{\pi^2 k_B^2 T}{3} \left( \frac{d \ln \sigma(E)}{dE} \right)_{E=E_F}.
\]

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where $k_B = $ Boltzmann constant, $q = $ charge, and $T = $ absolute temperature. The expression for $\sigma(E)$ is given by [21]

$$\sigma(E) = n(E)q\mu(E).$$

(2)

Here, $n(E) = $ charge carrier density, and $\mu(E) = $ mobility of the charge carrier. The charge carrier density is related to the DOS $g(E)$ and Fermi function $f(E)$ as [21]

$$n(E) = g(E)f(E).$$

(3)

Furthermore, theoretical approaches for strongly correlated electron systems like CrN indicate that induced defects (metal/N vacancies) lead to an increase in the DOS along with a shift in $E_F$ affecting the band opening [32]. This in turn affects the thermoelectric power factor $S^2\sigma$ [32,33]. Among different alloying elements (e.g., Sc, V, Al, Mo, and W) in CrN, V alloying resulted in enhanced thermoelectric properties of Cr$_{1-x}$V$_x$N in both bulk and thin films due to increased hole concentration [34,35]. While alloying heavier Mo atoms, iso-electronic to Cr, would not alter the electronic properties substantially, it would rather affect thermal transport properties by increased phonon scattering [36].

Consequently, in this paper, attempts were made to synthesize epitaxial CrN, Cr$_{1-x}$V$_x$N and Cr(Mo,V)$_x$N thin films. Literature on such a complex chromium-based multicomponent nitride Cr(Mo,V)$_x$N is lacking. Since probing the electronic structure in any thermoelectric material is primordial for further improvement of transport properties, below the $E_F$, the DOS was probed by synchrotron-based resonant inelastic x-ray scattering [RIXS; partial DOS (p-DOS)] complementary to lab-based valence band spectroscopy (VBS; total DOS). RIXS studies on correlated chromium nitride-based system is lacking, possibly due to poor energy resolution in earlier times [37]. The valence band below $E_F$ was also studied using lab-based x-ray photoelectron spectroscopy (XPS) which is highly surface sensitive with overlapping spectral features. In addition, above $E_F$, synchrotron-based x-ray absorption (XAS) measurements were performed, probing the unoccupied states in the conduction band. To investigate the thermoelectric properties, electrical resistivity and the Seebeck coefficients of the samples were measured at room temperature. Thus, the structural, electronic, and thermoelectric correlations were systematically and quantitatively studied for CrN and Cr$_{1-x}$V$_x$N thin films. Later, we qualitatively delve into the more complex systems of chromium-based multicomponent nitride Cr(Mo,V)$_x$N thin films.

**II. EXPERIMENTAL DETAILS**

CrN, Cr$_{1-x}$V$_x$N, and a series of Cr(Mo,V)$_x$N thin film samples were deposited on single-side polished c-plane sapphire (0001) substrates using reactive d.c magnetron sputtering in an ultrahigh-vacuum deposition system described elsewhere [38]. The substrates were left electrically floating at a deposition temperature of 600°C. Depositions were performed using three magnetrons, each with >99.7% pure metal targets and in an atmosphere of Ar and N$_2$. The gas composition was fixed at 40% Ar and 60% N$_2$. The CrN reference was deposited at 0.32 Pa and 22 sccm Ar, while the rest of the samples were deposited at 0.40 Pa and 28 sccm Ar due to difficulty in sustaining the plasma of all three targets ignited at lower gas flow. More detailed description of depositions and more in-depth characterization can be found elsewhere [39].

Rutherford backscattering (RBS) measurements were performed at Uppsala University using a 2 MeV 4He$^+$ ion beam [40]. Backscattered ions were detected at a scattering angle of 170°. Channeling effects in the substrates and samples were minimized by adjusting the equilibrium incidence angle to 5° with respect to the surface normal and performing multiple small random angular movements within a range of 2° during data acquisition. Atomic concentrations were extracted from the spectra using the SIMNRA simulation program [41]. The time-of-flight elastic recoil detection analysis measurements were also done and discussed in the Supplemental Material [42].

The x-ray diffraction (XRD) measurements were performed in Bragg-Brentano mode ($\theta$-2$\theta$) using a PANalytical X’Pert Pro diffractometer system, with a Cu-$K\alpha$ source operated at 45 kV and 40 mA. The incident optics was a Bragg-Brentano module with 0.5° divergence slit and a 0.5° antiscatter slit, while the diffracted optics included a 5.0 mm antiscatter slit, a 0.04 rad Soller slit, a Ni-filter, and an X’Celerator detector. Detailed structural analysis using pole figures and transmission electron microscopy is described elsewhere [39].

The soft x-ray absorption near-edge structure (XANES) at Cr 2p, N 1s, Mo 3p, and V 2p was measured at the SPECIES beamline equipped with an elliptically polarizing undulator (EPu61) and a plane grating monochromator, at the MAX IV Laboratory, Lund, Sweden. The XANES spectra were measured at 20° grazing incidence with 0.1 eV resolution using total electron yield and total fluorescence yield, simultaneously. The combination of drain current and near edge x-ray absorption fine structure (NEXAFS) detector enabled us to acquire both surface and bulk sensitive information simultaneously. For normalization of the data, a 4-µm-thick Au reference foil was scanned in the same energy range as the samples over each absorption edge.

The RIXS spectra were also measured at the SPECIES beamline with a high-resolution Rowland-mount grazing-incidence grating spectrometer [43,44] with a two-dimensional multichannel detector with a resistive anode readout. The energy resolutions of the monochromator at Cr 2p and N 1s were 0.45 and 0.2 eV, respectively. The spectrometer resolutions were 0.4 eV for Cr 2p and 0.3 eV for N 1s spectra. All measurements were performed with a base pressure <6.7 × 10$^{-7}$ Pa. To minimize self-absorption effects [45], the angle of incidence was 20° from the surface plane during the emission measurements. The x-ray photons were detected parallel to the polarization vector of the incoming beam to minimize elastic scattering.

The hard XANES and extended x-ray absorption fine structure (EXAFS) measurements were performed at the Cr K edge in fluorescence mode at the BALDER beamline [46] at MAX IV. For reference and energy calibration, both XANES and EXAFS were performed on a 5-µm-thick Cr foil (K edge at 5989 eV) in transmission mode. The energy scans were done using a Si (111) double crystal monochromator, and either a 7-element silicon drift detector (for fluorescence signal) or ionization gas detector filled with Ar, N$_2$, and He gases.
measurement setup [51]. The setup was equipped with two Peltier heat sources for creating a temperature gradient in the sample and two K-type thermocouples for measuring the temperature. The two electrodes were made of Cu and were in contact with the sample in an area of $\sim 9 \times 1 \text{ mm}^2$ in which the K-type thermocouples were present.

### III. RESULTS AND DISCUSSION

#### A. Compositional analysis

Table I shows the compositional analysis of $\text{Cr}_{x}\text{Mo}_{y}\text{V}_{z}\text{N}_{w}$, $\text{Cr}_{y}\text{Mo}_{x}\text{V}_{z}\text{N}_{w}$, and $\text{Cr}^{\text{Mo, V}}\text{N}_{z}$. samples measured by RBS. The composition of the films deduced by fitting revealed substoichiometry in nitrogen. The sample $\text{Cr}_{0.51}\text{N}_{0.49}$ is close to stoichiometry (N/Me ratio $= 0.96$), while $\text{Cr}_{0.50}\text{V}_{0.03}\text{N}_{0.47}$ and $\text{Cr}^{\text{Mo, V}}\text{N}_{z}$ are substoichiometric with compositions from $\text{Cr}_{0.50}\text{V}_{0.03}\text{N}_{0.47}$ to $\text{Cr}_{0.44}\text{Mo}_{0.09}\text{V}_{0.08}\text{N}_{0.39}$ for the lowest N-containing film. The samples $\text{Cr}_{0.51}\text{N}_{0.49}$ and $\text{Cr}_{0.50}\text{V}_{0.03}\text{N}_{0.47}$ are used as references, while the other three samples contain $\sim 8\%$–$9\%$ of Mo in the metal site and an increase in the V content from 6 to 8%. All the samples contain a negligible amount of oxygen which is less than the detection limit ($\sim 1\%$) of the instrument. A plausible explanation for the higher degree of N deficiency within the Cr(Mo, V)N$_x$ series compared with $\text{Cr}_{x}\text{Mo}_{y}\text{V}_{z}\text{N}_{w}$ is explained below (see Sec. III B).

#### B. Structural analysis

1. **XRD**

Figure 1(a) shows $\theta$–$2\theta$ XRD patterns of $\text{Cr}_{x}\text{Mo}_{y}\text{V}_{z}\text{N}_{w}$, $\text{Cr}_{0.50}\text{V}_{0.03}\text{N}_{0.47}$, and $\text{Cr}^{\text{Mo, V}}\text{N}_{z}$ epitaxial thin films, described in more detail elsewhere [39]. For all samples, the (0001)-oriented $\text{Al}_2\text{O}_3$ substrate provides a template for twin-domain epitaxial cubic growth of the thin films along [111] direction [52]. With increase in alloying-element concentration of V and incorporation of Mo in the CrN matrix, the lattice parameter gradually decreases as evident from the shift of the 111 and 222 peaks to higher diffraction angles. Up to the highest alloying element concentration, a single-phase cubic NaCl structure is retained, with no indication of any secondary phases. The 111 peaks of each sample were fitted using pseudo-Voigt functions, and the lattice parameters determined from the 111 peak positions are listed in Table I. It can be inferred from this paper that addition of V increases the solid solubility limit of $\text{Cr}^{\text{Mo, V}}\text{N}_{x}$, preventing phase

### Table I. Details of metal film composition obtained from RBS. The lattice parameters were calculated from the 111 peaks of each sample. The absorption edges around the Cr K-edge spectra are also listed.

<table>
<thead>
<tr>
<th>Samples</th>
<th>Film composition (RBS (% of Me) (±1%))</th>
<th>Lattice Parameter (±0.004) (Å)</th>
<th>Absorption (eV)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\text{Cr}<em>{x}\text{N}</em>{y}$</td>
<td>Cr Mo V N</td>
<td>N/Me ratio</td>
<td></td>
</tr>
<tr>
<td>$\text{Cr}<em>{0.51}\text{N}</em>{0.49}$</td>
<td>51(1,272),(65,276)(1,277),(65,281)(1,285),(65,289)</td>
<td>$- - -$</td>
<td>49</td>
</tr>
<tr>
<td>$\text{Cr}<em>{0.50}\text{V}</em>{0.03}\text{N}_{0.47}$</td>
<td>50</td>
<td>$- - -$</td>
<td>3</td>
</tr>
<tr>
<td>$\text{Cr}<em>{0.44}\text{Mo}</em>{0.08}\text{V}<em>{0.06}\text{N}</em>{0.42}$</td>
<td>44</td>
<td>8</td>
<td>6</td>
</tr>
<tr>
<td>$\text{Cr}<em>{0.45}\text{Mo}</em>{0.09}\text{V}<em>{0.07}\text{N}</em>{0.40}$</td>
<td>44.5</td>
<td>8.5</td>
<td>7</td>
</tr>
<tr>
<td>$\text{Cr}<em>{0.44}\text{Mo}</em>{0.09}\text{V}<em>{0.08}\text{N}</em>{0.39}$</td>
<td>44</td>
<td>9</td>
<td>8</td>
</tr>
</tbody>
</table>

(for transmission signal) was used to measure the signals. In fluorescence mode, the samples were placed at an incidence and exit angle of $45^\circ$ from the source and detector. However, in the transmission mode, the Cr foil was fixed at $90^\circ$ incidence angle. For both XANES and EXAFS, the energy scans were repeated three times for each sample, at an energy interval of 0.25 and 0.5 eV with an integration time of 0.02 s. For fitting the EXAFS spectra, scattering lengths of the photoelectron and the phase shift were calculated using the FEFF9 code [47,48] considering the body-centered cubic (bcc) and NaCl rock–salt-type B1 structure of Cr (COD-ID 5000220) and CrN (COD-ID 1010974), respectively. The data processing was done using the VIPER software [49], and three scans for each spectrum from the seven channels of the detector were analyzed and summed in the software to generate the final spectrum for each sample. A modified Victoreen polynomial function was used for the pre-edge normalization, and a smoothing spline function was used for the postedge background correction of the x-ray absorption fine structure (XAFS) spectra. The $k^2$-weighted Back Fourier transform (BFT) spectra were fitted in the range of $0\sim 13.5$ Å$^{-1}$ after extracting from the forward FT spectra within $R - \varphi = 1\sim 3$ Å.

Core-level XPS measurements were performed in an Axis Ultra DLD instrument, Kratos Analytical (UK), using monochromatized Al-K$\alpha$ radiation (1486.6 eV). The base pressure during analysis was $\sim 1.3 \times 10^{-7}$ Pa. Prior to measurements, samples were sputter etched for 10 min using 0.5 keV Ar$^+$ ions at an incident angle of $70^\circ$ from the surface normal. The area affected by the Ar$^+$ beam was $3 \times 3$ mm$^2$, while the analysis area was $0.3 \times 0.7$ mm$^2$ (centered in the middle of the etched crater). All spectra are charge referenced by setting the low-energy DOS cutoff at 0 eV. For $\text{Cr}_{0.44}\text{Mo}_{0.08}\text{V}_{0.06}\text{N}_{0.42}$ and $\text{Cr}_{0.45}\text{Mo}_{0.09}\text{V}_{0.07}\text{N}_{0.40}$ samples, an electron flood gun was used to neutralize the charge accumulation on the sample surface due to low electrical conductivity. Complementary to p-DOS, the total DOS was probed using VBS.

The sheet resistances of the samples were measured using a standard four-point probe setup (Jandel Model RM3000) at room temperature ($\sim 300$ K) with equidistant probes with spacing of 1 mm each and a tip radius of 100 µm. The electrical resistivities ($\rho_{\parallel}$) of the samples were then calculated considering the film thickness [50] as deduced from the x-ray reflectometry measurements (Table S2 in the Supplemental Material [42]). The Seebeck coefficients were also measured at room temperature using a home-built thermoelectric measurement setup [51].
segregation of Mo$_2$N, as observed by Quintela et al. [36] in bulk Cr$_{1-x}$Mo$_x$N (at $x \geq 0.025$). For Cr$_{0.51}$N$_{0.49}$, the lattice parameter correlates well with literature values $\sim$4.15–4.18 Å [52]. Note the presence of Laue oscillations [see Fig. 1(b)] in all the samples, indicating high crystallinity.

The atomic radii of Cr, V, Mo, and N are 140, 135, 145, and 65 pm, respectively. It is known that, with nitridation of Cr, phase transition from Cr (bcc) $\rightarrow$ $\beta$-Cr$_2$N [hexagonal closest packed (hcp)] $\rightarrow$ CrN (rocksalt) occurs [53]. For stoichiometric CrN, the N atoms occupy 100% of the interstitial octahedral sites of the metal lattice. Earlier studies have shown alloying with V or Mo results in an increase in the lattice parameter, provided the Cr atoms are substituted by the metal atoms in bulk CrN [34,36]. Nevertheless, our RBS results confirm the presence of N vacancies in all the samples. Thus, decrease in the lattice parameter stems from the volume contraction caused by the insufficient N occupancy. Similar observations have been reported for N-deficient CrN thin films [53]. Although the metal content is considerably $>50\%$ (and N content is reduced from 47 to 39%) of the lattice site, the B1 rocksalt structure is still retained, instead of transformation to hcp Cr$_2$N. Within the Cr(Mo, V)N$_x$ samples, the reduction in the lattice parameter follows the trend of B1 MoN$_x$ (4.20–4.27 Å)/hcp MoN$_x$ ($a = 5.72$ Å, $c = 5.60$ Å) $\rightarrow$ fcc Mo$_2$N (4.16–4.19 Å) [54] with reduction in the N content. Since the lattice parameters of fcc Mo$_2$N and rocksalt CrN match closely, addition of Mo leads to half occupancy of N in the nonmetal site (approaching Mo$_2$N) resulting in more N deficiency in Cr(Mo, V)N$_x$ samples compared with Cr$_{0.51}$N$_{0.49}$ and Cr$_{0.50}$V$_{0.03}$N$_{0.47}$.

2. EXAFS

Figure 2(a) shows the real part of the FT moduli $\chi(R)$ and the corresponding best fit as a function of radial distribution distance $(R-\varphi)$ for Cr$_{0.51}$N$_{0.49}$ thin film. The fitting of FT $\chi(R)$ of the Cr foil is shown and discussed in the Supplemental Material (Fig. S1) [42]. Single scattering theory with the first two nearest-neighbor scattering paths from the Cr absorber atom were considered for fitting the FT $\chi(R)$ spectra. The first two shells extended in 0.7–3.1 Å [see Fig. 2(a)] correlate to the Cr-N and Cr-Cr bond distances at $\sim$1.55 and 2.45 Å and are consistent with the previous reports [55]. The scattering phase shift in EXAFS is typically 0.5 Å at lower $(R-\varphi)$ from the obtained fitted values since $\chi(k) \propto \sin (kR+\varphi)$ in $k$ space [56]. The atomic pair distances $(R_{\text{absorber-neighbors}})$ obtained from the fitting are $R_{\text{Cr-N}} = 2.08$ $(\pm 0.04)$ Å and $R_{\text{Cr-Cr}} = 2.92$ $(\pm 0.01)$ Å. The $R_{\text{Cr-N}}$ value is in excellent agreement, while $R_{\text{Cr-Cr}}$ is slightly at a lesser value than the XRD data ($R_{\text{Cr-N}} = 2.079$ Å and $R_{\text{Cr-Cr}} = 2.941$ Å). This local information may differ from the macroscopically averaged information acquired from XRD.

The fitting reveal values of $N_{\text{Cr-N}} = 4.85$ $(\pm 1.5)$ and $N_{\text{Cr-Cr}} = 10.6$ $(\pm 1.1)$ in the first and second coordination shell, respectively. It is to be noted that binary nitrides with rocksalt structure in octahedral symmetry are sp$^3$ d$^2$ hybridized. Contrary to our RBS results, from EXAFS, the N deficiency around the first coordination shell for the Cr$_{0.51}$N$_{0.49}$ sample cannot be stated within the error bar. Fitting of the RBS data yields an overall substoichiometry in nitrogen if the elemental distributions are assumed to be homogeneous. Local inconsistencies from the stoichiometry can be probed using EXAFS which typically extends up to 5 Å from the Cr absorber atom. EXAFS fitting further suggests locally less occupancy of Cr atoms in the second coordination shell.

Figure 2(b) shows distinct variation in the intensity of oscillations of Cr$_{0.51}$N$_{0.49}$, Cr$_{0.50}$V$_{0.03}$N$_{0.47}$, and highest alloyed Cr$_{0.44}$Mo$_{0.09}$V$_{0.08}$N$_{0.39}$ samples around the first and second coordination shell (up to 3 Å). The maximum intensity is observed at $\sim$2.45 Å for Cr$_{0.51}$N$_{0.49}$, and it gradually decreases
with increasing alloying concentrations. The peak area of the radial distribution distance is correlated to the coordination number [57]. Therefore, it can be inferred that, for Cr$_{0.51}$N$_{0.49}$, the local coordination (N and next-nearest Cr atoms) is maximum for the Cr absorber leading to the highest intensity in both shells.

For the Cr$_{0.50}$V$_{0.03}$N$_{0.47}$ sample, the intensity of the first shell remains unaltered in comparison with Cr$_{0.51}$N$_{0.49}$. However, 3% alloying of V leads to substitution of few Cr atoms with V and is reflected in less Cr-Cr bonds seen from a reduced intensity around the second shell. For the Cr$_{0.44}$Mo$_{0.09}$V$_{0.08}$N$_{0.39}$ sample, the presence of less Cr-N and Cr-Cr bonds is evident from the radial distribution spectra compared with the rest of the samples. This is due to the bond formation of the Cr absorber atom with V and Mo atoms which substituted a few Cr atoms in the metal site. Consequently, due to substoichiometry of N as observed from our RBS results, the resultant Cr-N bonds also reduce. Locally, the less directional Cr-Cr bond length reduces for Cr$_{0.44}$Mo$_{0.09}$V$_{0.08}$N$_{0.39}$ compared with the rest of the samples, demonstrating a similar trend to our XRD results. This is attributed to the presence of N vacancies due to transition from covalent to metal-like character [53].

C. Electronic structure of unoccupied and occupied states

1. XANES

Figure 3(a) shows normalized Cr K-edge XANES spectra of Cr$_{0.51}$N$_{0.49}$, Cr$_{0.50}$V$_{0.03}$N$_{0.47}$, and Cr(Mo, V)N$_{x}$ samples. The reference spectra of Cr$_{0.51}$N$_{0.49}$ is in line with the previous report [55]. The observed features are labeled (A)–(E). For comparison, a reference Cr foil was also measured and shown in the Supplemental Material (Fig. S2) [42]. Note that all the samples display a weak pre-edge feature, shown in Fig. 3(a) as a shaded region labeled (A). This is assigned to a single core electron excitation from the 1$s$ core orbital to the unoccupied 3$d$ valence states of the Cr absorber atom partially hybridized with the 2$p$ valence states of the neighboring N atoms and is electric dipole allowed ($\Delta l = \pm 1$). The $E_F$ lies around the pre-edge, which is indicated in the inset of Fig. 3(a) [also in the magnified view of Fig. 3(b)].

Above the Fermi energy $E_F$, the higher-energy feature labeled (B) is attributed to 1$s$ $\rightarrow$ 4$s$ transitions of the Cr absorber atom, with partial contribution from 2$p$–3$s$–3$p$ states of the nitrogen ligand. This makes the electric dipole transition allowed ($\Delta l = \pm 1$) as observed for different transition metal compounds [58]. Around this region, the absorption edge ($E_0$) also appears [indicated in the inset of Fig. 3(a)], and the positions for all the samples are listed in Table I. The $E_0$ gradually shifts to the lower photon energy from Cr$_{0.51}$N$_{0.49}$ to Cr$_{0.44}$Mo$_{0.09}$V$_{0.08}$N$_{0.39}$. This is attributed to the reduced core-hole screening of the Cr ions from Cr$_{0.51}$N$_{0.49}$ to Cr$_{0.44}$Mo$_{0.09}$V$_{0.08}$N$_{0.39}$, leading to a reduction in the charge transfer from Cr to N. The trend in the samples can be well corroborated to the different electronegativities of the transition elements present in the samples with 1.63 (V), 1.66 (Cr), 2.16 (Mo), and 3.04 (N) on the Pauling scale.

As seen from XRD, the samples crystallize in cubic rock-salt NaCl structure ($Fm\overline{3}m$). This structure is known to have a periodic ABCABC stacking sequence. Such a stacking sequence in the presence of a nitrogen ligand environment gives rise to an intense white line (a sharp intense peak in the near edge) feature labeled (C). Hence, such an observation is a fingerprint for the typical characteristics of isostructural transition metal nitrides (e.g., ScN, TiN, and VN) crystalized in the NaCl structure [59,60]. Features labeled (C) and (D) arise due to core electron transition 1$s$ $\rightarrow$ 4$p$ obeying the electric dipole transition rule. The occupancy of electrons in the unoccupied 4$p$ orbitals of the Cr absorber reflects an inverse trend in the intensity around feature (C). Feature (E) is resultant of the constructive interference of the outgoing photoelectron from the Cr absorber and backscattered photoelectron wave function from the neighboring N atoms.

A magnified view of the electronic DOS around $E_F$ of the normalized Cr K-edge XANES spectra is shown in Fig. 3(b). In contrast to stoichiometric CrN [61], nonvanishing Cr 3$d$ 2$g$ nonbonding and Cr 3$d$ 4$s$ antibonding states with partial contribution from N 2$p$ states arise around $E_F$. This is due to the N-vacancy-mediated defects for substoichiometric Cr$_{0.51}$N$_{0.49}$ (in this paper) and is consistent with previous band structure calculations [32]. The vacancy in the N site led to occupancy of electrons back to the metallic spin-up Cr 3$d$ 2$g$ nonbonding states pushing $E_F$ inside the conduction band. The increase in the DOS around $E_F$ with alloying [also indicated around $E_F$ in the inset of Fig. 3(a)] is governed by the cumulative effect of alloying elements and N vacancies. The effect is strongly correlated to the change in the thermolectric properties of the samples (discussed in Sec. III D below).

2. Soft XAS

Figure 4 shows normalized XANES spectra of Cr L$_{3,2}$, N K, Mo M$_{3,2}$, and V L$_{3,2}$ edges of Cr$_{0.51}$N$_{0.49}$, Cr$_{0.50}$V$_{0.03}$N$_{0.47}$, and Cr(Mo, V)N$_{x}$ thin film samples. For Cr$_{0.51}$N$_{0.49}$, the doublet features (L$_3$ and L$_2$) in the Cr L$_{3,2}$ spectrum are resultant of spin-orbit splitting ($\xi_{sp}$) due to electric-dipole-allowed transition ($\Delta l = \pm 1$) of a core electron from Cr 2$p$ 3/2, 2$p$ 1/2 $\rightarrow$ Cr 3$d$ states owing to $\xi_{sp}$ $\sim$ 8.1 eV. The lower energy shift of $\sim$1 eV of L$_{3,2}$ peaks from the previous report suggests
Cr$^{+3-4}$ valence state in our sample, although the line shape and $\xi_p$ value match closely with stoichiometric CrN [62]. Upon alloying, the relative changes in $E_0$ and $\xi_p$ values of the samples compared with Cr$_{0.51}$N$_{0.49}$ fall within the detection limit. Higher intensity around $L_3$ and $L_2$ for Cr$_{0.50}$V$_{0.03}$N$_{0.47}$ and Cr(Mo, V)N$_x$ samples compared with Cr$_{0.51}$N$_{0.49}$ can be partly explained by the presence of fewer $d$ electrons per atom of Cr upon Mo and/or V substitution, leading to higher unoccupied Cr 3d states.

For Cr$_{0.51}$N$_{0.49}$ and Cr$_{0.50}$V$_{0.03}$N$_{0.47}$ samples, four distinct features (F)-(I) in the N K edge correspond to core electron transitions from the N 1s core level to unoccupied hybridized states of (F) N 2p$_x$ + Cr 3d, i.e., $t_{2g}$; (G) N 2p$_y$ + Cr 3d, i.e., $e_g$; and (H) and (I) higher unoccupied hybridized states of N 2p + Cr 4sp. The features indicate strong hybridization between Cr 3d and N 2p states, yielding a crystal field splitting (10Dq) of $\sim$2.1 eV. Usually, this parameter has significance as it is anticorrelated to the lattice parameter [i.e., $10Dq \propto (\text{bond distance})^{-3}$] and indicates hybridization strength [63]. However, no significant changes in the 10Dq values between Cr$_{0.51}$N$_{0.49}$ and Cr$_{0.50}$V$_{0.03}$N$_{0.47}$ are observed in this paper within the energy resolution limit. For the Cr(Mo, V)N$_x$ system, an overlap between the N K edge (401.6 eV) and the Mo $M_{3,1,2}$ edges (spread over 392–410 eV) [64] makes it complicated to analyze the N K edge after addition of Mo. Due to the low fluorescence yield at the Mo $M_{3,1,2}$ edge [65], the N K edge dominates the XANES spectra. The noticeable suppression of features (G) and (H) are caused by the band smearing of unoccupied Mo 4d states due to the opening of the Mo 3p $\rightarrow$ 4d dipole-allowed transition channel. The broadening also overshadows the relative chemical shifts of $E_0$ between the samples.

Like the Cr $L_1$-edge spectra, the same trend can be observed in the V $L$-edge spectra, where the $L_3$ and $L_2$ features start appearing for Cr$_{0.50}$V$_{0.03}$N$_{0.47}$ and become prominent for the Cr(Mo, V)N$_x$ samples. However, in a simple electronic picture with higher V alloying, V should contribute with more 3d states. Simultaneously, more electrons should be drawn from V to N because of the lower electronegativity, provided the N content is constant. Although at a first glance it may appear simple, the presence of three transition metals with a gradual reduction of the N/Me ratio is a complex system. A smaller number of available N 2p states enforces the competition of the charge transfer from one of the metals to N and back to the other metal site, especially within the Cr(Mo, V)N$_x$ series, depending on the electronegativities of the metals. This affects the intensity distribution, and therefore, no definite trend within the Cr(Mo, V)N$_x$ system can be observed from all the absorption edges.

3. XPS

Figure 5 shows the Cr 2p, N 1s, Mo 2p, V 2p, and Mo 3d XPS core-level spectra normalized to the highest intensity. Cr 2p core-level spectra [see Fig. 5(a)] reveal the spin-split doublet peaks 2p$_{3/2}$ and 2p$_{1/2}$. For Cr$_{0.51}$N$_{0.49}$ and Cr$_{0.50}$V$_{0.03}$N$_{0.47}$, the peaks 2p$_{3/2}$ and 2p$_{1/2}$ are centered at $\sim$574.4 and 583.9 eV. Asymmetry around the main 2p$_{3/2}$ peaks is noted which arises in the Cr photoelectron spectrum owing to the multiplet structure due to unpaired electrons [66]. Around the broadened 2p$_{1/2}$ peaks, this effect is less pronounced due to the Coster-Kronig effect [67]. For Cr(Mo, V)N$_x$ samples, no notable peak shifts can be observed compared with Cr$_{0.51}$N$_{0.49}$ and Cr$_{0.50}$V$_{0.03}$N$_{0.47}$. This indicates no significant change in the valence charge distribution of Cr ions after alloying which is contrary to the observed absorption edge shift in Cr K-edge XANES spectra. Thus, the deviation can be understood in the difference of the probed volume in both the measurements. However, reduction in peak broadening and peak asymmetry can be observed with Mo and increasing V alloying concentrations.

In Fig. 5(b), the N 1s and Mo 2p$_{3/2}$ partially overlapping peaks are shown. The dotted lines show the reference position of the N 1s spectra for CrN, VN, and MoN, respectively [68]. For all samples, including even Cr$_{0.51}$N$_{0.49}$, the N 1s peak shifts to lower binding energy (BE) from the reference value reported for stoichiometric CrN (396.9 eV). This is due to
the N substoichiometry (N/metal ratio is 0.96), which results in each N atom having on average more Cr neighbors. That can lead to both (i) higher negative charge density on each Cr atom and (ii) better screening of the core hole left after photoemission. Both effects result in the peak shift to lower BE. A gradual shift of the N 1s core-level spectra to the higher BE side can be seen for Cr_{0.50}V_{0.03}N_{0.47} and Cr(Mo, V)N_x samples. The observation is in line with the same trend of shift from reference samples. Thus, the shift is due to gradual reduction of the N content in the nonmetal site and addition of other transition metals, i.e., Mo and V in the CrN matrix. The result implies reduction in the charge state of N. It should be noted that, in XPS, there is a probability of preferential sputtering of N during sputter cleaning of the sample surface.

V 2p core-level spectra shown in Fig. 5(c) reveal no significant peak shifts. The only visible change is the reduction in peak asymmetry that takes place with increasing V content. Mo 3d core-level spectra [see Fig. 5(d)] from Cr_{0.44}Mo_{0.08}V_{0.08}N_{0.42} and Cr_{0.45}Mo_{0.09}V_{0.07}N_{0.40} films are identical. A shift to the lower BE side (−0.2 eV) can be noted in the Mo 3d spectrum from the Cr_{0.44}Mo_{0.09}V_{0.08}N_{0.39} sample. As the corresponding N 1s peak shifts to higher BE [see Fig. 5(b)], this corroborates a reduced charge transfer from the metal to the N atoms.

The \( \epsilon_{sp} \) values of 9.5 and 7.7 eV are obtained for Cr 2p and V 2p XPS core-level spectra. A discrepancy of 1.4 eV for Cr and 1.2 eV for V, among the \( \epsilon_{sp} \) values between the XAS and XPS measurements can be noted. This is due to excitation of the electrons to different final states involved in both processes. Considering 2p\(^{2}\) 3d\(^{n}\) as the ground state, the final states for XAS and XPS are 2p\(^{2}\) 3d\(^{n+1}\) and 2p\(^{2}\) 3d\(^{n}\), respectively. It leads to variable exchange and Coulomb interaction between the transition states involved, resulting in such a discrepancy [69]. The theoretical total DOS calculations (Figs. S3(a), S3(c), and S3(e) in the Supplemental Material [42]) reveal contribution from the different states in the valence band spectra.

4. VBS

Figure 6 shows valence band spectra for the Cr_{0.51}N_{0.49}, Cr_{0.50}V_{0.03}N_{0.47}, and Cr(Mo, V)N_x samples with their indicated \( E_F \). The theoretical total DOS calculations shown in Fig. S3 in the Supplemental Material [42]. Note that double-layer antiferromagnetic ordering with a Hubbard parameter \( U = 3 \) was considered in the calculations [70]. The calculations were used to identify the hybridization contributions and their positions in the valence band spectra. In contrast to stoichiometric CrN known to exhibit a narrow band gap [61,71], finite DOS around \( E_F \) in this paper is attributed to the presence of N vacancies in the Cr_{0.51}N_{0.49} thin film sample. From previous studies, it is known theoretically that N vacancies induce \( n \)-type behavior in the DOS [32]. However, in this paper, the x-ray width is \( \sim 0.3 \) eV. Feature (Q) arises mainly due to the contribution from the Cr 3d states hybridized with N 2p states, whereas feature (R) \( \sim 5–8 \) eV is an effect from Cr 3d states hybridized to N 2p states with partial contribution from Cr 3p states. The shoulder (at 6.5 eV) arises as intense as the main feature (R) (5.2 eV) and appears as a doublet [72]. The contribution of feature (S) is mostly dominated by N 2s states with a small contribution from Cr 3d states.

For the Cr_{0.50}V_{0.03}N_{0.47} sample, the features of the valence band spectra reciprocate a similar trend to Cr_{0.51}N_{0.49}. However, calculated total DOS reveals essential contribution from both Cr 3d and N 2p states with partial contribution from V 3d states for feature (Q) in this sample [35]. Feature (R) unveils hybridization of N 2p states with (Cr, V) 3d and small contribution from Cr 3p states. Feature (S) is dominated by hybridization of N 2s states with marginal contribution from Cr 3d states.

For Cr(Mo, V)N_x samples, the main contribution of the features is due to the hybridization of the following states:

1. Feature (Q) at \( \sim 0.8–1.6 \) eV: N 2p states—Cr 3d, V 3d, and primarily Mo 4d states.

2. Feature (R) \( \sim 5–8 \) eV: N 2p states—Cr (3d, 3p)/V (3d, 3p)/Mo 4d states.

3. Feature (S) \( \sim 16 \) eV: N 2s states—small contribution from Cr (3p, 3d)/Mo 4d states.

Here, a band smearing across feature (Q) is due to overlap of the broadened 4d wave functions of Mo with (Cr, V) 3d wave functions leading to delocalization of the valence band. The effect is also pronounced around feature (R) with a diminished doublet feature as was observed for Cr_{0.51}N_{0.49} and Cr_{0.50}V_{0.03}N_{0.47}. For feature (S), a shift to lower BE (0.5 eV) can be observed for Cr(Mo, V)N_x samples compared with Cr_{0.51}N_{0.49} and Cr_{0.50}V_{0.03}N_{0.47}.

5. RIXS

Figure 7(a) shows Cr 2p RIXS and Cr L\(_{3,2}\) -edge XAS data, and Fig. 7(b) shows N 1s RIXS and N K-edge XAS data, respectively, of the Cr_{0.51}N_{0.49}, Cr_{0.50}V_{0.03}N_{0.47}, and Cr(Mo, V)N_x thin film samples. The peak maxima in the XAS data were used to determine the photon energies for the emission measurements. Cr 2p RIXS on a Cr thin metal film is shown in the Supplemental Material (Fig. S4) [42] to obtain insight on the p-DOS and a comparison between Cr and Cr(Mo, V)N_x.

FIG. 6. Valence band spectra of Cr_{0.51}N_{0.49}, Cr_{0.50}V_{0.03}N_{0.47}, and Cr(Mo, V)N_x, samples with different alloying concentrations.
The N 1s RIXS spectra representing the p-DOS of the N valence region follows the $2p \rightarrow 1s$ dipole transitions. The N 1s RIXS spectra excited for all the samples at resonant photon energy of 397.3 eV exhibits a main peak centered ~389.9 eV composed of primarily N 2p states, in agreement with band structure calculations [75]. We interpret the low-energy emission shoulder at ~388 eV below the main peak as N 2s-2p hybridization. A higher-energy shoulder at ~393.6 eV is also attributed to N 2p states hybridized with Cr 3d states, in line with theoretical density functional theory calculations [61]. The intensity of this shoulder is higher for the Cr$_{0.51}$N$_{0.49}$ and Cr$_{0.50}$V$_{0.03}$N$_{0.47}$ samples due to more directional bonds and more charge withdrawal from Cr and N when there is no Mo content. For the Cr(Mo, V)$_{N_2}$ samples containing Mo, the number of hybridized N 2p states around the crossover region are significantly higher. This in turn affects the electrical resistivity within the samples, as discussed in the next section. Contrary to the Cr 2p RIXS, most excitation-energy-dependent changes in the N 1s RIXS are only observed in the high-energy shoulder, while there are only minor changes in the main peak. This is a signature of delocalized N 2p states compared with the more localized Cr 3d states.

### D. Seebeck coefficient and electrical resistivity

The Seebeck coefficient and electrical resistivity ($\rho$) of Cr$_{0.51}$N$_{0.49}$, Cr$_{0.50}$V$_{0.03}$N$_{0.47}$, and Cr(Mo, V)$_N$ samples are tabulated in Table II. For the Seebeck coefficient, Eq. (1) can be rewritten as [76]

$$S = \frac{8\pi^2 k_B T}{3\hbar^2 m^*}\left(\frac{\pi}{3n}\right)^{2/3}.$$  

(4)

Combining Eqs. (4) and (2), the Seebeck coefficient and electrical conductivity are interrelated. For Cr$_{0.51}$N$_{0.49}$, the presence of a small amount of N vacancies results in an $S$ value of ~93 $\mu$V K$^{-1}$ at room temperature which is at least three times higher than earlier reports without any post-deposition treatment [17,52]. Rather, $\rho$ and the thermoelectric power $S/\sigma$ value also seem to be at par with stoichiometric bulk CrN [77]. Based on Mahan-Sofo theory, enhanced thermoelectricity is correlated with a narrow electronic energy distribution near $E_F$ [30]. We attribute the enhanced properties for Cr$_{0.51}$N$_{0.49}$ to the presence of sharp and local increase in the DOS near $E_F$, as observed in VBS spectra [see feature (Q) in Fig. 6]. This observation is in line with the estimated band structure calculations [21]. Moreover, in a simplified picture combining Eqs. (2) and (3), the electrical conductivity ($\sigma/\rho$) is proportional to DOS at $E_F$ if the electronic scattering is independent of energy [22]. In this paper, since all measurements were done at room temperature, the following picture can be considered. For Cr$_{0.50}$V$_{0.03}$N$_{0.47}$, $S$ remains nearly the same, but the abrupt decrease in the $\rho$ value is correlated to the increased population across $E_F$, as observed from Cr K-edge XANES spectra [see the DOS around $E_F$ in Fig. 3(b)] resulting in a value six times higher in power factor compared with Cr$_{0.51}$N$_{0.49}$. The lower N content compared with Cr$_{0.51}$N$_{0.49}$ and the lowest alloying of V lead to lower hybridization of the Cr 3d-N 2p states, as...
observed from the contribution around feature (Q) in VBS spectra, revealing lower ρ without altering S. However, for the Cr(Mo,V)N\textsubscript{x} series, a large reduction in ρ with typical metal-like S values originates from the strong hybridization of the N 2\textit{p}-(Cr,V) 3\textit{d} states with Mo 4\textit{d} states (hybridization contribution as discussed in VBS spectra of Sec. III C) inducing higher DOS across \textit{E}_{F}, as evidenced from our Cr K-edge XANES [see the DOS around \textit{E}_{F} in Fig. 3(b)]. The crossover of significant DOS around \textit{E}_{F} results in metal-like resistivity. Moreover, a strong coupling between Mo 4\textit{d} and N 2\textit{p} states near the Fermi level weakens the Cr 3\textit{d}-N 2\textit{p} electronic correlations for Cr(Mo,V)N\textsubscript{x}, driving it far from a Mott insulator [36]. The reduced S of Cr(Mo,V)N\textsubscript{x} stems from the presence of broadened Mo 4\textit{d} wave functions, inducing delocalization which drives it away from sharp and local increase in the DOS near \textit{E}_{F} compared with Cr\textsubscript{0.51}N\textsubscript{0.49} and Cr\textsubscript{0.50}V\textsubscript{0.03}N\textsubscript{0.47}. However, the power factor of Cr(Mo,V)N\textsubscript{x} series is still comparable with Cr\textsubscript{0.51}N\textsubscript{0.49} which we attribute to the N substoichiometry. The obtained power factors of Cr(Mo,V)N\textsubscript{x} samples at room temperature are typically an order of magnitude less than the conventional thermoelastic materials ScN, PbTe, and Bi\textsubscript{2}Te\textsubscript{3} [22,78,79]. We attribute it to the low thickness of the samples, high N substoichiometry, and high alloying concentrations of Mo and V in CrN.

IV. CONCLUSIONS

In summary, we systematically studied the effect of V and/or Mo alloying in the CrN matrix, with substoichiometric N. The addition of V stabilizes the cubic phase retention in this complex system despite the presence of higher atomic percent of Mo. Even a small N substoichiometry led to decreased band gap in Cr\textsubscript{0.51}N\textsubscript{0.49}. This is due to fewer N 2\textit{p} states available to accommodate the electrons, and thus, the electrons return to the metal site, shifting the Fermi level toward the conduction band. For Cr\textsubscript{0.50}V\textsubscript{0.03}N\textsubscript{0.47}, less N content and the lowest amount of V alloying lead to lower hybridization of the Cr 3\textit{d}-N 2\textit{p} states, revealing lower electrical resistivity without altering the Seebeck coefficient. This results in overall improvement of the thermoelastic power factor. Hence, it can be inferred that presence of N deficiency up to a critical limit still retains good thermoelastic properties. Later, in the Cr(Mo,V)N\textsubscript{x} series, the combined effect of N substoichiometry and contribution of Mo 4\textit{d} hybridized to N 2\textit{p} states weakens the Cr 3\textit{d}-N 2\textit{p} electronic correlations, driving it far from a Mott insulator. It is governed by crossover of significant DOS across the Fermi level compared with Cr\textsubscript{0.51}N\textsubscript{0.49} and Cr\textsubscript{0.50}V\textsubscript{0.03}N\textsubscript{0.47}, exhibiting metal-like resistivity. The increased N substoichiometry for Cr(Mo,V)N\textsubscript{x} also leads to a reduction in the charge transfer from metal to N site compared with Cr\textsubscript{0.51}N\textsubscript{0.49}. The reduced Seebeck coefficient of Cr(Mo,V)N\textsubscript{x} stems from the presence of broadened Mo 4\textit{d} wave functions which drive it away from sharp and local increase in the DOS just below the Fermi level. Thus, in this paper, we show the potential of Cr(Mo,V)N\textsubscript{x} as a thermoelastic material which is strongly correlated to the DOS present near the Fermi level. This paper motivates further research on N-stoichiometric Cr(Mo,V)N with lower alloying concentration of Mo for enhancement of the thermoelastic properties.

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<table>
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<th>Samples</th>
<th>S (µV K\textsuperscript{-1})</th>
<th>ρ (µΩ cm)</th>
<th>S\textsuperscript{2}\sigma ± 25% (µW cm\textsuperscript{-1} K\textsuperscript{-2})</th>
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<tr>
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<td>314 ± 3</td>
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<td>−7 ± 0.4</td>
<td>192 ± 5</td>
<td>0.2</td>
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TABLE II. The Seebeck coefficient, electrical resistivity, and power factor of the samples.


thermodynamics of CrN magnetic phases from ab initio calculations and experiment, Phys. Rev. B 90, 184102 (2014).


[42] See Supplemental Material at http://link.aps.org/supplemental/10.1103/PhysRevB.108.205134 for describing the compositional analysis by ERDA, thickness and mass density by XRR of Cr0.51N0.49, Cr0.50V0.03N0.47 and Cr(Mo, V)N, thin film samples. The theoretical DOS calculations of CrN, CrVN and Cr(Mo, V)N is discussed. A comparison of EXAFS, Cr K-edge XANES and RIXS between Cr foil and Cr0.51N0.49 is also discussed.


