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A simple polyol-free synthesis route to Gd₂O₃ nanoparticles for MRI applications: an experimental and theoretical study

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Chelated gadolinium ions, e.g. Gd-DTPA, are today used clinically as contrast agents for magnetic resonance imaging. An attractive alternative contrast agent is composed of gadolinium oxide nanoparticles as they have shown to provide enhanced contrast and, in principle, more straightforward molecular capping possibilities. In this study, we report a new, simple and polyol free way of synthesizing 4-5 nm sized Gd₂O₃ nanoparticles at room temperature, with high stability and water solubility. The nanoparticles induce high proton relaxivity compared to Gd-DTPA showing r_1 and r_2 values almost as high as those for free Gd³⁺ ions in water. The Gd₂O₃ nanoparticles are capped with acetate and carbonate groups, as shown with Infrared Spectroscopy, Near-Edge X-ray Absorption Spectroscopy, X-ray Photoelectron Spectroscopy and combined Thermogravimetric and Mass Spectroscopy analysis. Interpretation of Infrared Spectroscopy data is corroborated by extensive quantum chemical calculations. This nanomaterial is easily prepared and has promising properties to function as a core in a future contrast agent for magnetic resonance imaging.

Gadolinium oxide Synthesis Relaxivity XPS IR Toxicity

INTRODUCTION

Over the last decade considerable efforts have been made to increase the contrast in magnetic resonance imaging (MRI). So far, two main groups of contrast agents have been in focus for clinical use; nanosized superparamagnetic iron oxide particles delivering negative contrast and paramagnetic agents based on Gd(III) chelates delivering positive contrast (Geraldès and Laurent 2009). Today, however, the interest for negative contrasts has been reduced, due to the fact that contrast agents such as iron oxide particles lower the signal strength in MRI and deliver darker images. Thus Gd based metal ion complexes completely dominate the market. Gd³⁺ possesses, due to its electron configuration with seven unpaired *f*-electrons, a large magnetic moment (~7.9 BM). The combination of the large magnetic moment and the symmetry of the electronic state induces very high longitudinal relaxivities of nearby protons (Caravan et al. 1999; Lauffer 1987). Researchers around the world now strive to develop a new generation of Gd based agents with further improved relaxation properties to enhance the MRI sensitivity and achieve improved image contrast compared to what is possible today. Another strongly desired goal is to equip (“functionalize”) these new contrast agents for tissue targeting purposes and/or to enable drug delivery.

In all kinds of gadolinium based contrast agents, the presence of free gadolinium ions have to be avoided due to the cytotoxicity. The conventional way of producing a gadolinium based contrast agent is to form a chelate, i.e. stabilizing the metal ion using a chelating agent (for example DTPA or DOTA) but recently several other strategies have been proposed. Among these, metallofullerenes where the Gd ions are encapsulated in a fullerene cage (Toth et al. 2005; Shu et al. 2009; Zhang et al. 2010a; Bolskar et al. 2003), metal-organic frameworks (MOFs) (Rowe et al. 2009b; Rieter et al. 2006; Rowe et al. 2009a) and nanoscale coordination polymers (NCP) (Zhang et al. 2010b) can be mentioned. The synthesis of the latter compounds, however, is typically quite complicated normally involving several steps. A main limitation of organic systems like MOFs and metallofullerenes is their large size (often > 100 nm), since large molecular species are usually quickly recognized by phagocytes and removed by the reticulo-endothelial system (RES) (Skotland et al. 2010; Alexis et al. 2008). The

size of a nanoparticle contrast agent is thus a critical parameter as is also its chemical stability.

Concerning Gd based contrast agents, clearance through the kidneys is required in order to avoid accumulation in the body. The cut off for renal excretion of nanoparticles is below 10 nm and in general renal excretion increases with decreasing particle size (Skotland et al. 2010). In addition to the benefit of renal clearance, there are other advantages in working with very small particles as MRI contrast enhancers, such as prolonged examination time as a consequence of a prolonged circulation time. Small-sized systems also have an extended field of application, since direct particle-cellular and particle-molecular interactions become feasible (Na and Hyeon 2009). While the MRI technique has an inherently low sensitivity, it is a main goal to increase the number of Gd ions available to water molecules per contrast agent unit. In MOFs, the amount of Gd ions is severely restricted by the organic framework and in metallofullerenes the Gd ions are encased behind a shield of organic molecules; in both cases the number of Gd ions incorporated, strongly influences the size of the system. The most efficient way to collect a large number of Gd ions within a small volume is to use nanocrystals of e.g. gadolinium oxide (Gd_2O_3) (Bridot et al. 2007; Fortin et al. 2007; McDonald and Watkin 2006; Park et al. 2009; Söderlind et al. 2005; Petoral Jr et al. 2009; Kim et al. 2010), gadolinium fluoride (GdF_3) (Evanics et al. 2006; Chaput et al. 2011) or gadolinium phosphate (GdPO_4) (Hifumi et al. 2006; Yan et al. 2010; Lai et al. 2008). The crystals preferably are kept small enough to give a large surface to bulk ratio and thereby an efficient interaction between Gd^{3+} and water molecules; *i.e.* a high water exchange. Small or ultras-small Gd_2O_3 nanoparticles synthesized according to the polyol route have been extensively studied, by our group and others (Bridot et al. 2007; Fortin et al. 2007; McDonald and Watkin 2006; Park et al. 2009; Petoral Jr et al. 2009; Söderlind et al. 2005). The yield of the polyol synthesis is rather low though, and a severe drawback is the necessity to apply lengthy dialysis times to purify the nanoparticle solutions from free Gd^{3+} . Moreover, as-synthesized polyol-prepared nanoparticles are usually dispersed in diethylene glycol (DEG), a toxic chemical which is known to induce renal failure in poisoning accidents, thus not suitable for oral intake or intravenous injection (Peng et al. 2009; Schep et al. 2009).

Exchanging DEG on the nanoparticle surface to a biocompatible and/or targeting capping layer and transferring the nanoparticle dispersions to water, without disrupting the nanoparticles, are tasks that have shown to be less controllable as well as time-consuming. Another synthesis method which is based on ethylene glycol (EG) instead of DEG and performed at lower temperature and during shorter time has been presented lately (Rahman et al. 2011). Gd₂O₃ nanoparticles have also been synthesized by the combustion method yielding a highly crystalline nanoparticle powder (Söderlind et al. 2005). Aggregation is, however, severe for such as-synthesized Gd₂O₃ nanoparticles and the solubility in water is extremely low, making MRI studies impossible.

In this paper we report a new way of synthesizing very small Gd₂O₃ nanoparticles. The synthesis is free from a polyol solvent (e.g. DEG), performed at room temperature and the nanocrystalline powder after washing and drying show high solubility and stability in water solution. This study of non-functionalized Gd₂O₃ nanoparticles is an initial step towards biocompatible and directed nanoparticles. The main focus is on a material that in the nearby future will be the core of an actively functionalized nanomaterial for MRI contrast enhancement. The material was characterized with X-ray powder Diffraction (XRD), Transmission Electron Microscopy (TEM), Dynamic Light Scattering (DLS), Fourier Transform Infrared Spectroscopy (FT-IR), Near-Edge X-ray Absorption Fine Structure Spectroscopy (NEXAFS), X-ray Photoelectron Spectroscopy (XPS) and Thermogravimetry combined with Mass Spectroscopy (TG-MS). The longitudinal and transverse magnetic resonance relaxivities (r_1 and r_2) were measured with a NMR analyzer. The experimental results are supported by theoretical modeling studies. Theoretical IR spectra of three different Gd acetate complexes are presented as well as calculated NEXAFS spectra of one of the Gd acetate complexes and an isolated acetate group. These calculations were performed to elucidate the molecular capping of the synthesized particles.

EXPERIMENTAL DETAILS

Chemicals

All chemicals were used as received. Gadolinium(III) acetate hydrate (Sigma-Aldrich, 99.9 %), tetramethylammonium hydroxide (Sigma-Aldrich, >97 %), ethyl acetate (Fisher Scientific, 99.99 %), dimethyl sulfoxide (Merck, 99.9 %), ammonium acetate (Merck, >96%), ethanol (Kemetyl, 99.5 %). For preparation of water based solutions, Milli-Q water ($\rho > 18.2 \text{ M}\Omega$) was used. A commercial gadolinium oxide nanopowder (Aldrich, < 100 nm, 99.8 %) was used as a reference.

Preparation of Gd_2O_3 nanoparticles

The preparation of Gd_2O_3 nanoparticles was based on a method previously used for producing nanocrystalline ZnO (Schwartz et al. 2003). As Zn^{2+} is divalent and Gd^{3+} is trivalent, the molar amount of gadolinium (III) acetate was reduced in order to keep the same over-all charge concentration in the Gd_2O_3 synthesis as in the ZnO synthesis. The synthesis was performed at room temperature by dropwise adding 10 ml of 0.55 M tetramethylammonium hydroxide (TMAH) in ethanol to 30 ml 0.067 M gadolinium (III) acetate in dimethyl sulfoxide under constant stirring. Precipitation was noticed during the dropwise addition of TMAH. After addition of TMAH, stirring was continued at room temperature for one hour. Ethyl acetate was added and the mixture was centrifuge washed (3500 rpm) at least three times with ethyl acetate before it was diluted in deionized water. A total amount of 0.28 g ammonium acetate was added to one whole batch to increase the water solubility. Powder samples were air dried. The yield of the sample is dependent on the washing procedure. The gadolinium content after three times of centrifuge washing with ethyl acetate is roughly 60-65 % of the initial amount added in the synthesis.

Instrumentation

X-ray diffraction XRD measurements were carried out with a Philips XRD powder diffractometer using Cu K α radiation ($\lambda = 1.5418 \text{ \AA}$, 40kV, 40 mA). The 2θ step-size was 0.025° and the time per step 4 s. Air dried powder samples were used in the preparation.

High-resolution transmission electron microscopy TEM measurements were performed on a FEI Tecnai G² electron microscope operated at 200 kV. Sample preparation was done by letting 1-2 drops of Gd₂O₃ in water dry on an amorphous carbon-covered copper grid.

Dynamic light scattering DLS measurements were carried out on an ALV/DLS/SLS-5022F system from ALV-GmbH, Langen Germany, using a HeNe laser at 632.8 nm with 22 mW output power. Prior to the measurements, the samples were temperature stabilized in a thermostat bath at 22.1 °C for at least 10 minutes. The scattering angle was 90° . 15 Gd₂O₃ samples dispersed in MilliQ water were studied in DLS, and the long term stability of the suspensions were studied by repeated measurements during a period of 6 weeks.

ζ Potential ζ potential measurements were carried out on a Model Zetasizer Nano ζ -Potential Analyzer (Malvern Instruments, UK). Temperature was 25 °C. The as-synthesized and three times ethyl acetate centrifuge washed nanoparticle suspension was highly diluted with deionized water prior to measurement.

Infrared spectroscopy Transmission IR studies were done on a Bruker Vertex 70 FT-IR instrument using pressed pellets of KBr. Measurements were performed in the range $400\text{-}4800 \text{ cm}^{-1}$ and approximately 300 mg KBr and 1 mg sample powder were used in the preparation of each pellet.

X-ray photoelectron spectroscopy XPS measurements were performed at the MAX II synchrotron storage ring at MAX-Lab in Lund, Sweden. The beamline used was D1011. The photon energy was optimized for each atomic element according to: survey spectra and Gd 3d spectra at 1300 eV, C 1s spectra at 385 eV, O 1s spectra at 650 eV. The binding energy scales of the spectra were aligned through the C 1s peak (285 eV). Peak positions were found to shift nonlinearly upon different photon energies, most likely because of an imperfect linearity of the monochromator and the final alignment was based also on elemental peak position separations in a survey scan with photon energy 1300 eV. Si (100) substrates for XPS measurements were cleaned in a 5:1:1 mixture of MilliQ

water, 25 % hydrogen peroxide and 30 % ammonia for 10 minutes at 80 °C and rinsed in MilliQ water. Drops of sample solution was added to the surfaces and dried with N₂.

Near-edge X-ray absorption fine structure spectroscopy As for XPS, NEXAFS measurements were performed at the synchrotron storage ring MAX II at MAX-Lab in Lund, Sweden. The beamline used was D1011 and samples were prepared as for XPS. Reatardation voltage was set to -40, -150, -400 and -800 V for Gd 4d, C 1s, O 1s and Gd 3d respectively.

Thermogravimetry and Mass spectroscopy analysis Thermal analysis was performed using a Netzsch STA 449C Jupiter instrument and in situ gas analysis was conducted simultaneously using a mass spectrometer (Netzsch: QMS 403C Aëolos) through a heated transfer capillary. Approximately 10 mg of air-dried Gd₂O₃ nanoparticle powder was placed in a sintered Al₂O₃ crucible at room temperature. The temperature was increased to 1100 °C at a rate of 10 °C/min. The temperature was held at 200 °C for 30 min. The studies were performed under He flow (100 mL/min).

Relaxation measurement The relaxation measurements were performed on a Bruker minispec mq60 NMR analyzer at 40 °C using a magnetic field of 1.41 T. Samples were diluted in MilliQ water to different Gd concentrations in the approximate range 0-2 mM prior to the measurement. The absolute concentrations were determined afterwards by ICP-MS at ALS Scandinavia AB (Sweden).

COMPUTATIONAL DETAILS

IR spectroscopy modeling

Geometry optimizations and IR frequency calculations were carried out with the Gaussian program(Frisch 2003) with use of density functional theory (DFT) in conjunction with the B3LYP exchange correlation functional (Becke 1993) and Dunning's cc-pVTZ family of basis sets for light elements (Dunning 1989) and the large core Stuttgart effective potential (SDD) for gadolinium (Dolg et al. 1989). The IR spectra were obtained in the harmonic force field approximation based on analytic molecular Hessians. The presented frequencies have been scaled by a factor of 0.97.

Geometries considered in IR spectroscopy modeling

From the literature, we considered three complexes with a coordination number of nine for the gadolinium atom. All three complexes are shown in Figure 1. In the first complex $[\text{Gd}(\text{OAc})_4(\text{H}_2\text{O})_2]^-$ (Figure 1a), the gadolinium atom is coordinated to three bidentate acetate ligands, two water molecules and an oxygen atom from a bridging acetate ligand (Favas et al. 1980). The second complex, which presents a center of inversion, is the dimer form of the first complex: $[\text{Gd}(\text{OAc})_3(\text{H}_2\text{O})_2]_2$ (Figure 1b) (Hatscher and Umland 2003). For each gadolinium atom the coordination scheme is the same as for the monomer. The last structure considered is composed of two gadolinium atoms, eight acetate groups and no water $[\text{Gd}_2(\text{OAc})_8]^{2-}$ (Figure 1c) (Smith and Ryan 1992).



Figure 1 The three different gadolinium acetate complexes considered in the theoretical IR calculations: a) $[\text{Gd}(\text{OAc})_4(\text{H}_2\text{O})_2]^-$, b) $[\text{Gd}(\text{OAc})_3(\text{H}_2\text{O})_2]_2$ and c) $[\text{Gd}_2(\text{OAc})_8]^{2-}$

NEXAFS modeling

We calculated the NEXAFS spectra of the dimer (Figure 1b) and the isolated acetate, using the geometry optimized at the B3LYP/cc-pVTZ level of theory. The linear absorption cross section is proportional to the imaginary part of the electric-dipole polarizability in accordance with the expression (Boyd 2003)

$$\sigma(\omega) = \frac{4\pi\omega}{c} \text{Im}[\bar{\alpha}(\omega)] \quad (1)$$

where c is the speed of light and ω is the angular frequency of the incident radiation. The calculation of the NEXAFS spectrum has been performed with use of a locally modified version of the DALTON program (Aagaard Jensen et al.

2005), employing the Coulomb attenuated method B3LYP (CAM-B3LYP) exchange-correlation functional (Yanai et al. 2004) with a set of parameters that guarantees a correct asymptotic limit of the Coulomb hole-electron interaction (Ekström and Norman 2006) ($\alpha = 0.19$, $\beta = 0.81$ and $\mu = 0.33$). The basis set used in this calculation is aug-cc-pVDZ for light elements and the large core Stuttgart effective potential for gadolinium (Dolg et al. 1989). The polarizability is identified from the damped linear response function (Norman et al. 2001, 2005), using a very efficient recently implemented solver (Kauczor et al. 2011), and the present calculations are carried out with a damping parameter that equals 1000 cm^{-1} . From a technical point of view, we note that the solving of the damped linear response equations is enabled by the implementation of a linear response solver in accordance with the paired trial vector algorithm presented in Ekström and Norman (2006).

RESULTS AND DISCUSSION

In this paper, a new synthesizing procedure to obtain Gd_2O_3 nanoparticles to be used for MRI contrast enhancement is presented. The synthesis is DEG free, fast and performed at room temperature. The characterization is focused on crystallinity, particle size and size distribution, chemical composition and relaxivity properties.

Crystallinity, Size and Size distribution

The X-ray Diffraction (XRD) pattern of Gd_2O_3 nanoparticle powder is presented in Figure 2b together with a reference diffractogram of a Gd_2O_3 bulk powder in Figure 2a. The Gd_2O_3 nanopowder displays one broad peak centered at $2\theta \approx 29^\circ$, which is identified as the 222 reflection of cubic Gd_2O_3 . The extensive peak broadening and low intensity is most likely due to the small nanoparticle size. (Weller 1994) In general, the atomic scattering factor is high for atoms with high atomic number (Gd : $Z=64$), but the low peak intensities observed for the Gd sample in Figure 2b is probably also a consequence of gadolinium fluorescence (Gustafsson et al. 2011).

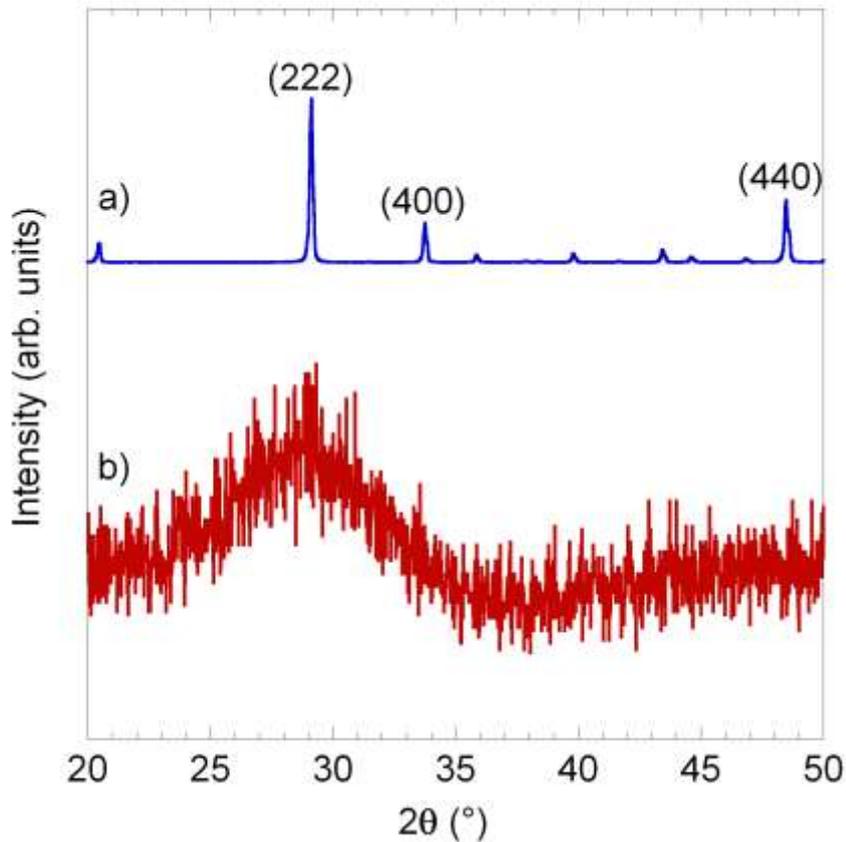


Figure 2 Powder diffraction patterns of a) a commercial Gd_2O_3 bulk powder and b) Gd_2O_3

Transmission Electron Microscopy (TEM) was used to characterize the material concerning the crystal structure, shape, size and size distribution of the nanoparticles. A representative HRTEM image of a freshly synthesized Gd_2O_3 nanoparticle material is shown to the left in Figure 3 together with the corresponding FFT pattern. The TEM image shows the projection of more or less spherically shaped crystallites with an estimated size of 4-5 nm. The FFT diffraction pattern show the expected spots representing the (222), (400), (440) and the (622) lattice planes. Since the cubic unit cell side length of Gd_2O_3 is 10.809 Å (PDF 43-1014), the corresponding interplanar distances for the reflections are calculated to be 3.12 Å, 2.70 Å, 1.91 Å and 1.63 Å. In agreement with literature data, the (222) planes in cubic Gd_2O_3 correlates to the most intense signal in the FFT and commonly these planes are clearly visible in the HRTEM images. Crystalline particles could still be observed in samples stored in water at room temperature for several weeks. A representative TEM image of such a sample after storage in water for two months is shown to the right in Figure 3. This TEM image shows separate nanoparticles with an estimated size of 4-5 nm

indicating high stability in water solution. The corresponding FFT shows dots representing the (222), (400), (440) and (622) planes, respectively, in agreement with the FFT for freshly synthesized material. A reasonable stability in water was confirmed also by a ζ -Potential value of $+34.7 \pm 0.6$ mV. This positive charge indicates presence of electrostatic repulsion between the particles which ensures colloidal stability. The size distribution of the nanoparticles presented in Figure 4 was determined by studying approximately 100 nanoparticles on altogether 16 different images. For each nanoparticle two orthogonal diameters were measured and the mean value of the two was used as the size of the particle. Only nanoparticles with clearly apparent fringes were taken into account. The mean size of the nanoparticles in TEM was 4.7 ± 1.3 nm, a value that had not changed significantly 10 weeks after the synthesis (the right image in Figure 3). What appeared to be “fragments” of nanoparticles could be seen on some images, especially in samples stored in water for longer time. Ostwald ripening, which causes large particles to grow by relocating material from smaller particles, is suggested to be a part of this fragmentation.

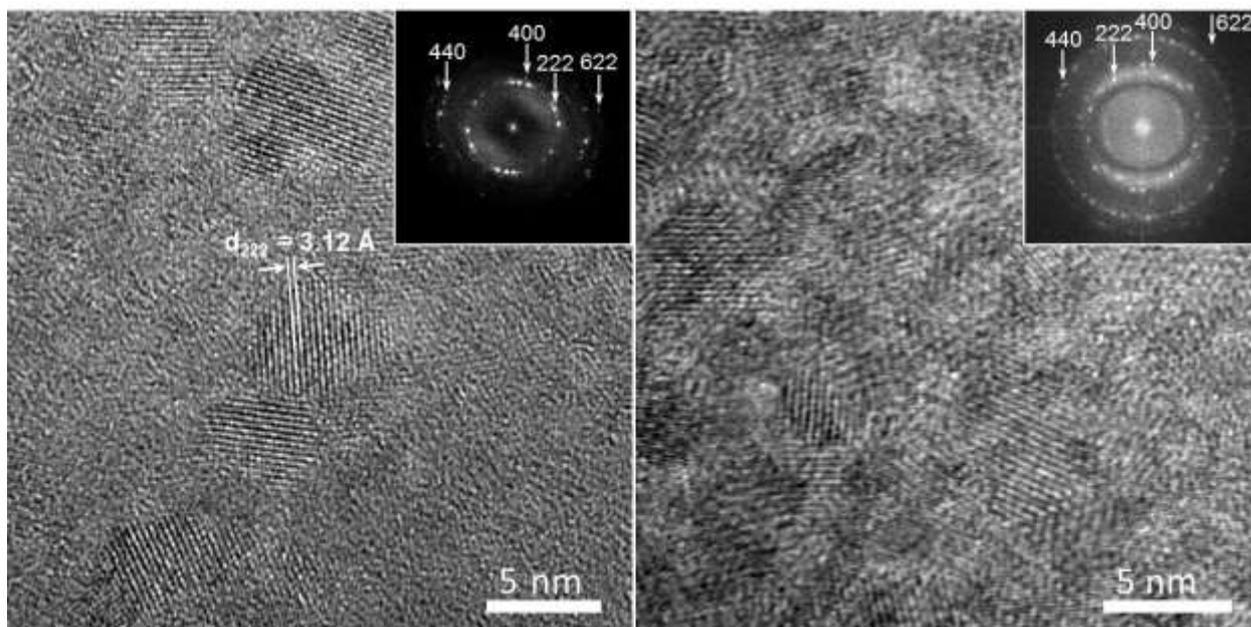


Figure 3 Transmission electron microscope images of recently synthesized Gd₂O₃ nanoparticles (left) and a corresponding two months old sample (right) together with their related Fast Fourier Transforms (FFTs)

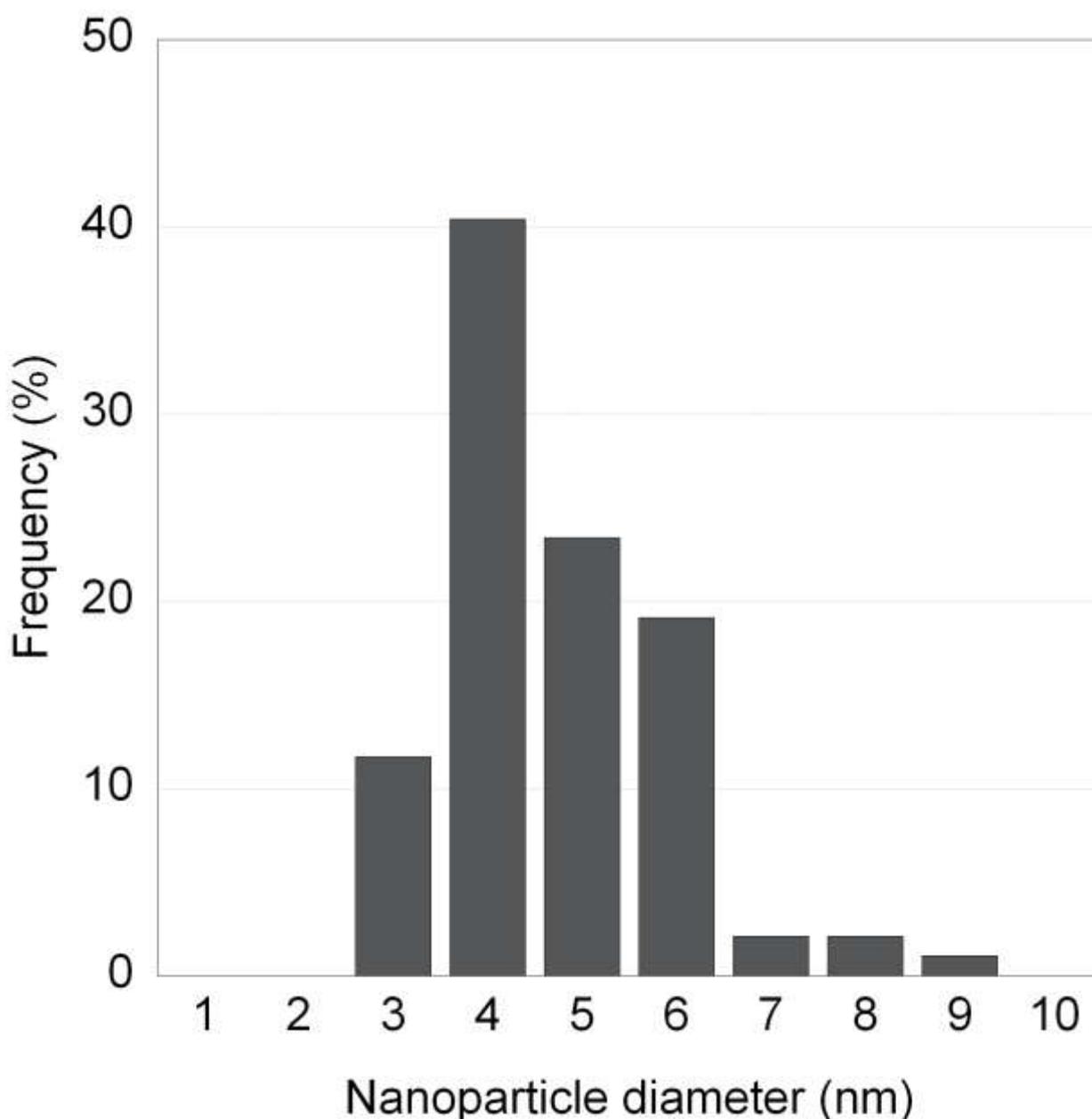


Figure 4 Size distribution of Gd_2O_3 nanoparticles measured with TEM. The total column sum of all frequencies equals 100 %

Dynamic Light Scattering (DLS) was used as a complementary technique to TEM to estimate the hydrodynamic radius of the Gd_2O_3 nanoparticles. 15 samples were analyzed using DLS. An important issue when analyzing the DLS results is the presence of large particles or loosely bound aggregates. By using unweighted DLS fits presence of larger aggregates were confirmed in all samples. By using number weighed fits, on the other hand, it was shown that clearly the majority of the particles have a hydrodynamic radius below 10 nm. From these number

weighted fits an indicative nanoparticle radius in single sampling correlation could be estimated to 6.9 ± 4.3 nm for newly synthesized nanoparticle suspensions; to 5.3 ± 2.9 nm one week after synthesis; and to 5.1 ± 3.4 nm two weeks after synthesis, as illustrated in Figure 5. After 6 weeks the mean radius had increased to 6.7 ± 4.7 nm.

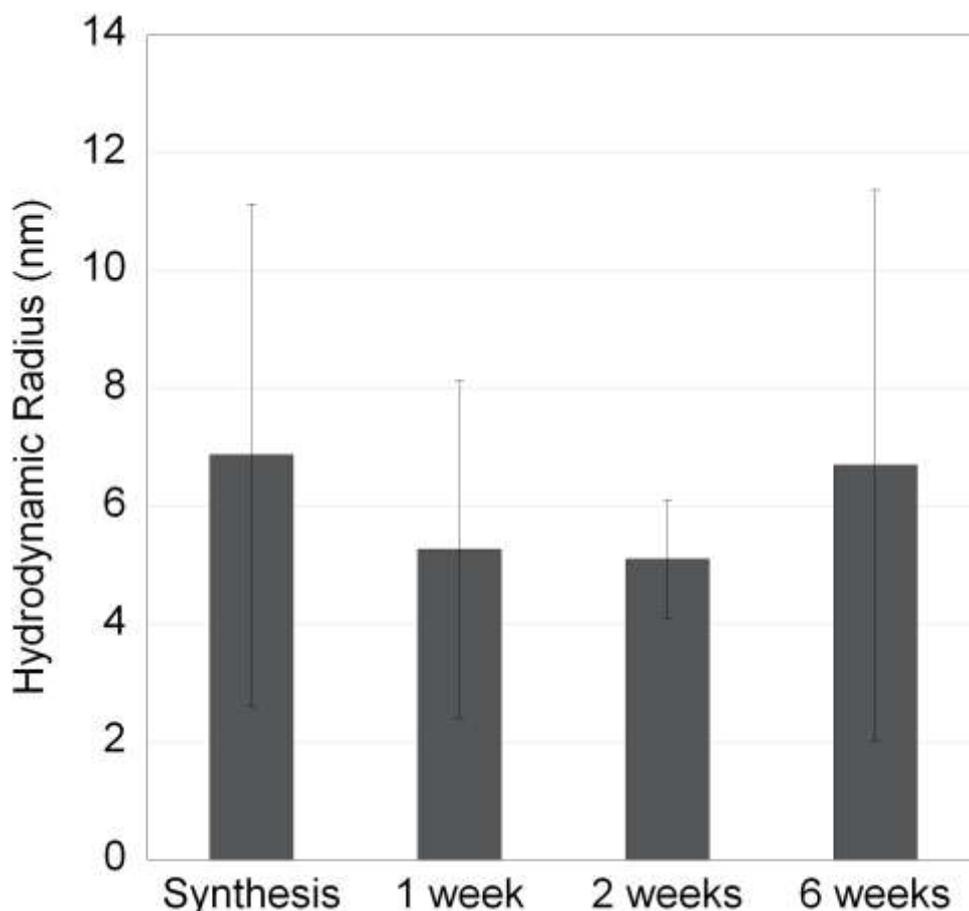


Figure 5 Hydrodynamic radius of Gd_2O_3 nanoparticles studied with DLS. Measurements were performed directly after synthesis and 1, 2, and 6 weeks after synthesis

Molecular composition

Infrared (IR) spectroscopy was used to study the vibrational modes of the precursor molecules and to identify molecular capping present at the surface of Gd_2O_3 nanoparticles. Theoretical IR spectroscopy calculations were based on three different gadolinium acetate complexes, as described in the computational details section. Experimental IR spectra of the precursors TMAH and $Gd(OAc)_3$ and Gd_2O_3 nanoparticles are shown in Figure 6a-c, respectively, and calculated

spectra for the three different gadolinium acetate complexes are shown in Figure 6d-f. Peak positions and assignments of the experimental and theoretical gadolinium acetate spectra in Figure 6a and Figure 6e are summarized in Table 1. Our conclusion when comparing the experimental and calculated spectra of gadolinium acetate in Figure 6a and Figure 6d-f respectively, is that the best overall agreement is found for $[\text{Gd}(\text{OAc})_3(\text{H}_2\text{O})_2]_2$ (Figure 6e). The most prominent peaks in Figure 6a and 6c-f are found in the range $1400\text{-}1600\text{ cm}^{-1}$ and are mainly assigned to originate from the antisymmetric, $\nu_{as}(\text{COO}^-)$, and symmetric, $\nu_s(\text{COO}^-)$, carboxylate stretching modes. It has been suggested by Nakamoto (Nakamoto 1997), although contradicted by Edwards and Hayward (Edwards and Hayward 1968), that the separation (Δ) of these peaks can be used to interpret the mode of coordination of a carboxylate group to a metal cation. For $\Delta > 200\text{ cm}^{-1}$ monodentate binding is expected, for $\Delta < 110\text{ cm}^{-1}$ bidentate binding is expected and for $140 < \Delta < 200$ bridging binding is expected. Furthermore, according to the literature concerning the three gadolinium acetate complexes (Favas et al. 1980; Hatscher and Umland 2003; Smith and Ryan 1992), bidentate and bridging metal ion coordination are both present in gadolinium acetate and hence, carboxylate antisymmetric and symmetric stretch splits are expected in this study. The presence of two peaks originating from antisymmetric carboxylate stretching is observed in the theoretical spectra in Figure 6e (1540 and 1504 cm^{-1}). The peaks originating from symmetric carboxylate stretching are overlapped generating one broad peak at 1399 cm^{-1} .

The experimental spectrum for $\text{Gd}(\text{OAc})_3$ in Figure 6a presents three peaks in the wavenumber region of $1400\text{-}1600\text{ cm}^{-1}$. The results from the theoretical calculations and the knowledge that two binding fashions are usually present in $\text{Gd}(\text{OAc})_3$ make us interpret the broad peak at 1544 cm^{-1} as to originate from two overlapping peaks both representing antisymmetric carboxylate stretching but from different coordination modes. The peak position of symmetric carboxylate stretching in gadolinium acetate is, according to the literature, somewhat contentious. Patil et al. (Patil et al. 1968) have previously assigned a peak at about 1420 cm^{-1} to symmetric carboxylate stretching and a peak at about 1460 cm^{-1} to methyl deformation whereas Karraker (Karraker 1969) has done the opposite assignment attributing a peak at 1450 cm^{-1} to symmetric carboxylate stretching and a peak at 1412 cm^{-1} to asymmetric bending of CH_3 . According to the results

of the IR calculations and the observed peak intensities, the last mentioned assignment is to be preferred. In Figure 6a, the peak intensity of the peak at 1418 cm^{-1} is far too low to originate from carboxylate stretching and we thus assign the peak at 1456 cm^{-1} to symmetric carboxylate stretching. A shoulder is present at a slightly higher wavenumber, again indicating the presence of two different binding modes and a small broadening of the peak, in agreement with the calculated spectra. Broadening is also a consequence of the presence of overlapping methyl bending peaks in the same wavenumber area and at 1418 cm^{-1} . The wavenumber separation of the antisymmetric and symmetric carboxylate stretching (Δ) in Figure 6a is 88 cm^{-1} when using the maximum intensity of the unresolved peaks, primarily representing bidentate binding according to Nakamoto (Nakamoto 1997). The corresponding approximate peak to peak separation in the calculated spectra is 123 cm^{-1} , a value positioned in the middle between the ranges for bridge and bidentate coordination. Significant peak broadening of the corresponding peaks is obvious also in the spectrum of Gd_2O_3 nanoparticles in Figure 6c. Here, broadening occurs because of further overlap with C-H vibrations originating from TMAH as well as acetate methyl groups but probably also from gadolinium carbonates, which are formed on the nanoparticle surface. Carbonate presence is further validated by the existence of a weak carbonate bending structure at about 845 cm^{-1} . As observed in the theoretical and experimental analysis of gadolinium acetate spectra splitting upon different binding character probably also appears inducing further broadening. Presence of acetate and carbonate species on the particle surface is likely to increase the nanoparticle stability. Uncapped Gd_2O_3 nanoparticles would not be stable enough to be treated in water. Compared to the spectrum in Figure 6a the peak intensity of the peak at 1418 cm^{-1} in Figure 6c has increased significantly. We therefore suggest this peak to originate from symmetric carboxylate stretching generating a wavenumber separation of 143 cm^{-1} , mainly corresponding to a bridging coordination in agreement with our earlier studies of Gd_2O_3 functionalized with citric acid (Söderlind et al. 2005).

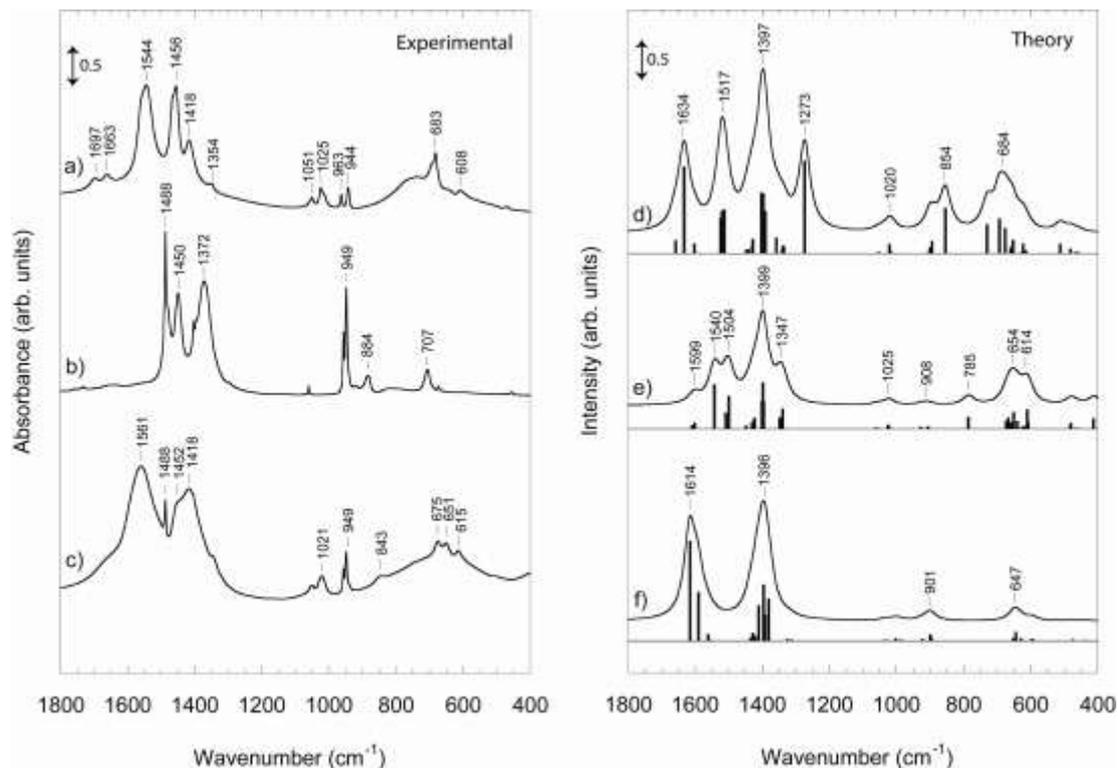


Figure 6 Experimental transmission IR spectra of the precursors a) $\text{Gd}(\text{OAc})_3$, b) tetramethyl ammonium hydroxide (TMAH) and c) as-synthesized Gd_2O_3 nanoparticles and theoretically calculated spectra for d) $[\text{Gd}(\text{OAc})_4(\text{H}_2\text{O})_2]^-$, e) $[\text{Gd}(\text{OAc})_3(\text{H}_2\text{O})_2]_2$ and f) $[\text{Gd}_2(\text{OAc})_8]^{2-}$

Table 1 IR spectroscopy peak positions and assignments based upon the experimental measurement of gadolinium acetate and the calculated IR spectra of $[\text{Gd}(\text{OAc})_3(\text{H}_2\text{O})_2]_2$.

Experimental ν (cm^{-1})	Theoretical ν (cm^{-1})	Assignment
1697/1663	1599	$\delta_s(\text{H}_2\text{O})$
1544	1540	$\nu_{\text{as}}(\text{COO}^-)$ bridge
	1504	$\nu_{\text{as}}(\text{COO}^-)$ bidentate
1456	1399	$\nu_s(\text{COO}^-)$ bidentate, bridge, $\delta_{\text{as}}(\text{CH}_3)$
1418		$\delta_{\text{as}}(\text{CH}_3)$
1354	1347	$\delta_s(\text{CH}_3)$
1051, 1025	1025	$\rho(\text{CH}_3)$, $\delta(\text{C sp}^2, \text{out of plane})$
963, 944	908	$\nu(\text{C-C})$
	785	H_2O
683	654, 614	$\delta(\text{O-C-O})$

It is evident, by comparing the spectra in Figure 6b and Figure 6c, that TMAH precursors are still present in newly synthesized and centrifuge washed Gd₂O₃. This is observed as two very distinctive TMAH peaks at about 1488 cm⁻¹ and 949 cm⁻¹. When changing ethyl acetate to ethanol in the last wash step, TMAH is removed from the Gd₂O₃ sample which is clearly shown by elimination of the TMAH peaks in the IR spectrum (*Supplementary Information*). Bands corresponding to the cubic phase Gd-O vibration have earlier been identified at about 550 and 440 cm⁻¹, when studying Gd₂O₃ waveguiding thin films (Guo et al. 2004b; García-Murillo et al. 2001), sol-gel synthesized Gd₂O₃ nanocrystals (Guo et al. 2004a) and Eu doped Gd₂O₃ nanocrystals synthesized by a sol-lyophilization process (Louis et al. 2003). In the present case, though, the Gd-O vibration is absent, most likely as a consequence of the small size of the nanoparticles. We have scanned the literature to find these vibrations in other cases when studying Gd₂O₃ nanoparticles, but to our knowledge the particular Gd-O vibrations have not been observed for Gd₂O₃ nanoparticles of this small size below 10 nm in diameter. Furthermore, IR spectra have previously shown to be crystallite size dependent. Bednarkiewicz (Bednarkiewicz et al. 2006) concluded that the intensity of vibrational bands decreases with decreasing particle size when studying NaGdF₄ nanocrystals. Peak intensity decrease was followed also by bandwidth broadening and the size effect was most pronounced for low energy frequencies. Peak intensity decrease and broadening upon reduced particle size was noticed also by Zhang (Zhang et al. 2004) Heating Gd₂O₃ nanoparticle samples is tightly coupled to crystal growth (Louis et al. 2003). When samples heated to 1100° C in the TG study was examined with IR spectroscopy clear peaks at about 436 cm⁻¹ and 542 cm⁻¹ appeared as a consequence of increased nanoparticle size (*Supplementary Information*). The observed IR spectrum showed that the sample was free from carbonates/acetates and the Gd-O peak positions were consistent with the corresponding peak positions earlier found when investigating commercial Gd₂O₃ nanopowder (diam < 100 nm).

Near Edge X-ray Absorption Fine Structure (NEXAFS) Spectroscopy was used in combination with XPS to study the molecular composition of the Gd₂O₃ nanoparticles. The experimental C K-edge spectra of Gd₂O₃ and Gd acetate are shown in Figure 7 together with the C K-edge spectra of [Gd(OAc)₃(H₂O)₂]₂ and

the isolated acetate group obtained from the theoretical calculations. A summary of the assignments of the peaks is shown in Table 2.

The Gd₂O₃ spectrum (Figure 7a) shows two major peaks originating from acetate- and carbonate groups, and several minor features. The very sharp peak at 288.3 eV is assigned to C1s → π*_{C=O} transitions in the acetate unit in agreement with the Gd acetate spectrum (Figure 7b).

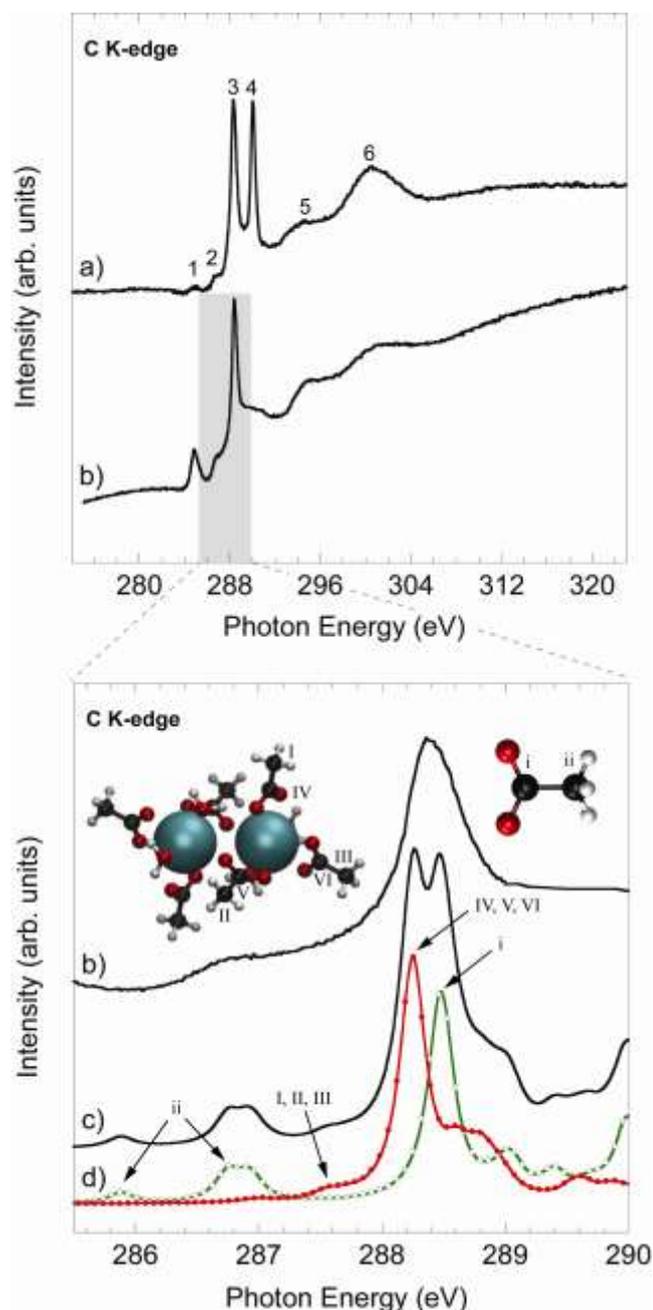


Figure 7 Experimental C K-edge NEXAFS spectra of a) Gd₂O₃ nanoparticles and b) the precursor Gd acetate with peak numbers referring to assignments made in Table 2. Theoretically calculated spectra of isolated acetate (green line, hollow markers) and the coordination complex [Gd(OAc)₃(H₂O)₂]₂ (red line, filled markers) are shown in d) together with the sum of these two spectra in c)

Complex binding of the acetate unit to metal ions have earlier shown to alter the NEXAFS spectra of the acetate group (Armbruster et al. 2009; Plaschke et al. 2004). Complexation is thought to induce an intensity decrease in the main acetate peak and at the same time a shoulder appears at slighter lower energy. Theoretical spectra of isolated acetate and complex bound acetate were studied to investigate the effect of complexation (Figure 7c-d). The intensity of the free acetate spectrum has been multiplied by 6 in order to match the stoichiometric ratio of the gadolinium complex. From the elements in the imaginary part of the response vectors, absorption peaks could be attributed to specific atoms in the molecule. The results obtained from these theoretical calculations in Figure 7d show that there are major differences between the spectra of complex bound (red curve, filled markers) and isolated acetate (green curve, hollow markers). The main peak for isolated acetate is positioned at slightly higher photon energy, as compared to the same peak for the metal bound acetate. Furthermore, two broad structures clearly appear at the low energy side of the main peak in the spectra of the free acetate. These two structures, marked with ii in Figure 7d, are attributed to the methyl carbon of the acetate, which is also the case for the lower intensity feature marked with roman numerals (I, II, III) in the spectra of the metal coordinated acetate in the same figure.

The experimental spectrum of Gd acetate in Figure 7b agrees with the combined spectra of isolated and complex bound acetate in Figure 7c. The broad $\pi^*_{C=O}$ peak in the C K-edge Gd acetate peak in the experimental spectrum is thus suggested to be composed of two overlapping peaks corresponding to this transition of the free and complex bound acetate respectively, as based on the theoretical spectra in Figure 7d. This interpretation is furthermore supported by the presence of a broad feature at around 286.8 eV in Figure 7b. As based upon these results, it is likely that the condition in solution is dynamic, with several different acetate complexes coexisting with free acetate groups.

Table 2 Assignment in the C K-edge spectra of Gd₂O₃ nanoparticles and gadolinium acetate.

Peak number	Excitation energy (eV)	Assignment
1	284.9	C1s \rightarrow π^* _{C=C}
2	286.8	C1s \rightarrow π^* _{C=O} acetate
3	288.3	C1s \rightarrow π^* _{C=O} acetate
4	290	C1s \rightarrow π^* _{C=O} carbonate
5	294.3	C1s \rightarrow σ^* _{C-C} acetate
6	300.4	C1s \rightarrow σ^* _{C-O} acetate/carbonate

It is well known that a Gd₂O₃ nanoparticle readily reacts with atmospheric CO₂ to form carbonates on the surface (Kaltsoyannis and Scott 1999; Baltrusaitis et al. 2011), and the sharp peak at about 290 eV is assigned to C1s \rightarrow π^* _{C=O} originating from carbonate species (Koprinarov et al. 1998; Urquhart and Ade 2002; Lippitz et al. 1996). The minor peak at photon energy at 285 eV usually is attributed to C1s \rightarrow π^* _{C=C} transitions. However, no C=C bonds are expected in Gd acetate and the peak most likely originates from chemical impurities in the precursor compound. The two broad peaks at higher energies in the spectrum of Gd acetate in Figure 7 both originate from σ^* resonances. Peak number 5 (294 eV) can be assigned to C-C bonds (σ^* _{C-C}) and possibly also to C-N (σ^* _{C-N}) bonds, whereas peak number 6 (300 eV) is assigned to σ^* _{C-O} in acetate (Graf et al. 2009; Hasselström et al. 1998). In the nanoparticle spectrum the presence of carbonates on the nanoparticle surface partly alters the line shape and increases the intensity of the last mentioned σ^* resonance, since carbonate σ^* _{C-O} transitions overlap with the corresponding transition in acetate (Stöhr 1992). Carbonate formation is further evidenced by the O K-edge spectrum in Figure 8 with the corresponding peak assignments given in Table 3. In the Gd₂O₃ nanoparticle O K-edge spectrum in Figure 8a five peaks are observed. The first two peaks (1 and 2) overlap. The O K-edge NEXAFS spectrum of Gd acetate in Figure 8b show one sharp peak at 531.9 eV which, together with Peak 1 in Figure 8a, is assigned to the O1s \rightarrow π^*

c=O transition in the acetate unit. Peak broadening in this region has earlier been observed by Koprinarov (Koprinarov et al. 1998) when studying the stepwise degradation of carbonates to carbonyls. The carbonate $\pi^*_{\text{C=O}}$ transition partly coincides with the acetate $\pi^*_{\text{C=O}}$ as shown in the magnified inset in Figure 8 (Lippitz et al. 1996; Hasselström et al. 1998). Broad σ^* resonances are observed at higher energies (Peak 3-5). Peak 3 at 536 eV is assigned to the $\text{O}1s \rightarrow \sigma^*_{\text{Gd-O}}$ transition in Gd_2O_3 . According to Lee (Lee et al. 2010) and Nachimuthu (Nachimuthu et al. 2004), the O K edge NEXAFS spectrum of Gd_2O_3 show two broad peaks centered at 532 and 537 eV. In our case, the lower photon energy feature is presumably concealed as a consequence of the carbonate and acetate overlap. Peaks number 4 and 5 are both assigned to $\text{O}1s \rightarrow \sigma^*_{\text{C-O}}$ transition. These transitions originate from acetate as well as carbonate groups and moreover, splitting of the σ^* system caused by interaction of two adjacent C=O bonds (Stöhr 1992) is likely to occur. The NEXAFS Gd M-edge spectrum in Figure 9 shows two peaks positioned at 1180 and 1212 eV, respectively. These peaks are assigned to the $3d-4f$ transition and the separation between the peaks is due to the spin-orbit splitting of the $3d_{5/2}$ and $3d_{3/2}$ level. The reason to the multiplet feature in each of the peaks is the spin-orbit moment coupling of $3d$ and $4f$ states (Thole et al. 1985). The Gd N-edge spectrum in Figure 9 involves pre-threshold peaks and a giant peak, in good agreement with the $4d-4f$ transition of gadolinium (Ogasawara and Kotani 1995; Takayama et al. 2002).

Table 3 Assignments in the O K-edge spectra of Gd_2O_3 nanoparticles and gadolinium acetate.

Peak number	Excitation energy (eV)	Assignment
1	531.9	$\text{O}1s \rightarrow \pi^*_{\text{C=O}}$ acetate
2	532.9	$\text{O}1s \rightarrow \pi^*_{\text{C=O}}$ carbonate
3	536.3	$\text{O}1s \rightarrow \sigma^*_{\text{Gd-O}}$ in Gd_2O_3
4	540.5	$\text{O}1s \rightarrow \sigma^*_{\text{C-O}}$ acetate/carbonate
5	543.6	$\text{O}1s \rightarrow \sigma^*_{\text{C-O}}$ acetate/carbonate

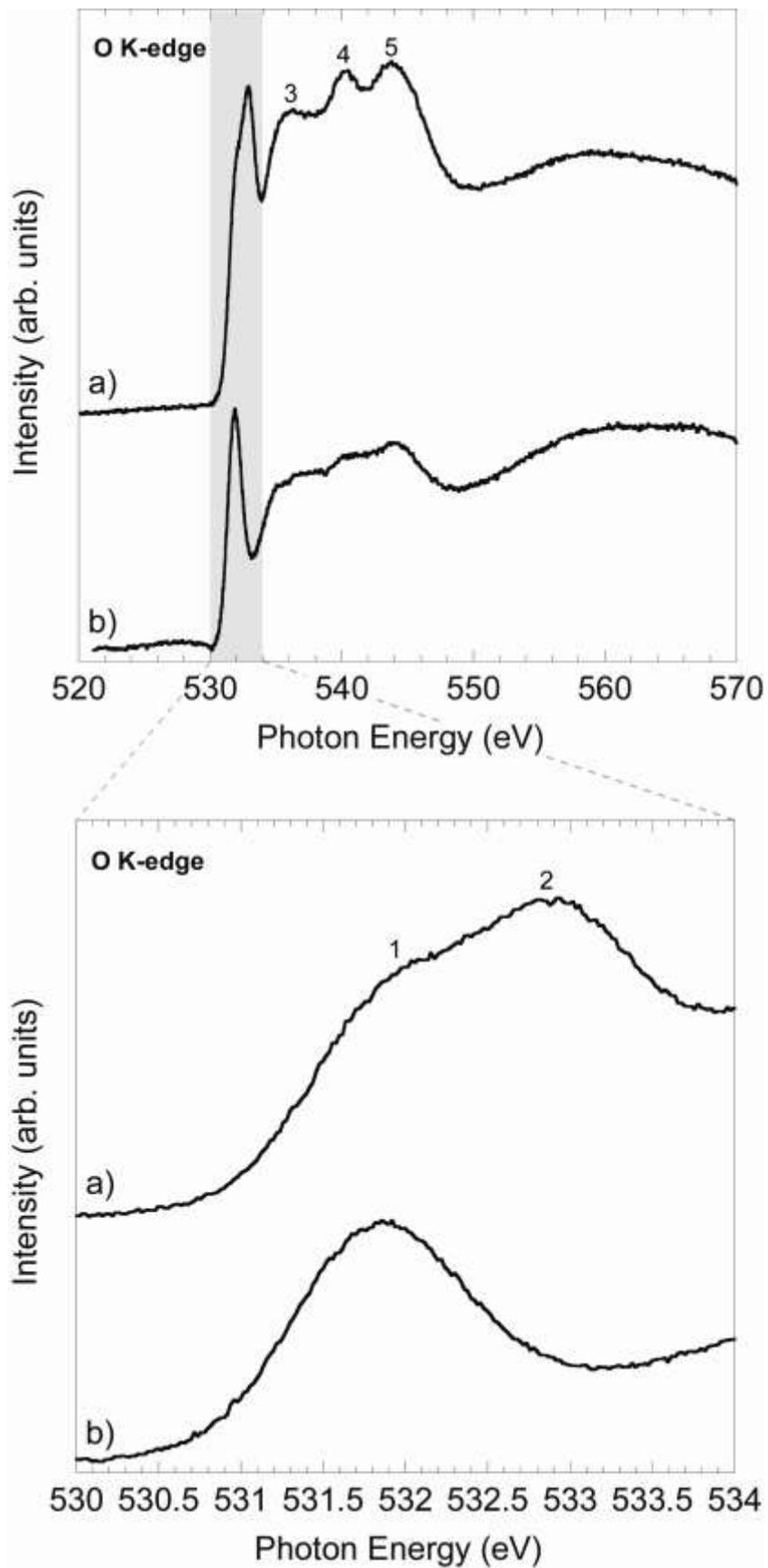


Figure 8 O K-edge NEXAFS spectra of a) Gd_2O_3 nanoparticles and b) the precursor Gd acetate with peak numbers referring to assignments made in Table 3

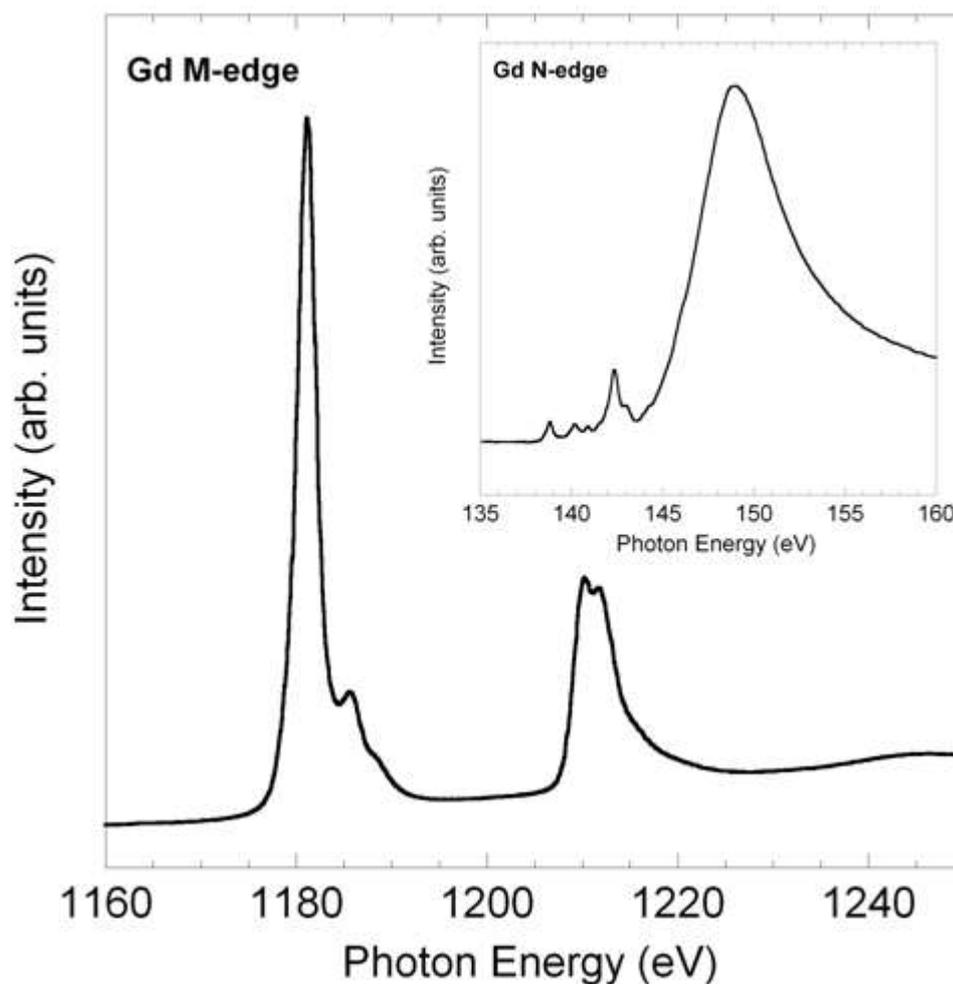


Figure 9 Gd M-edge and Gd N-edge (inset) NEXAFS spectra of Gd_2O_3 nanoparticles

The chemical composition of Gd_2O_3 nanoparticles was studied with X-ray Photoelectron Spectroscopy (XPS) and the experimental data was analyzed using curve fitting. The spectra of O (1s), Gd (3d) and C (1s) of Gd_2O_3 nanoparticles are presented in Figure 10, 11 and 12 respectively. The O (1s) spectrum in Figure 10 is dominated by one major peak positioned at 531.9 eV. Two small peak features are also observed at 529.5 and 533.6 eV, respectively. The experimental Gd/O ratio, as calculated from the total areas of Gd 3d and O 1s peaks in the wide scan XPS spectrum, was estimated to be 0.08 while the stoichiometric ratio of pure Gd_2O_3 is 0.67. In nanoparticles capped with organic material the signal from the core will be suppressed, however, to an extent that depends on both the thickness of the capping layer as well as on the kinetic energy of the electrons, i.e. the X-ray photon energy. In other words, the inelastic mean free path (IMFP) of an electron is dependent on its kinetic energy according to the *Universal curve* (Attard and Colin 1998), and the distance that the electron has to travel inside the material to

reach the detector is among other things dependent on the capping layer thickness. Gd electrons emitted in the photoelectron process using 1300 eV in photon energy have a kinetic energy lower than 100 eV. Emitted O electrons originating from the Gd_2O_3 core oxygen also have a kinetic energy close to 100 eV, when using a photon energy of 650 eV. Cumpson (Cumpson 2001) has suggested a way to estimate IMFPs of electrons in polymers and other organic materials at different kinetic energies. By using his method and the given kinetic energies, the IMFPs of both O and Gd electrons are estimated to about 5 Å. Still, a small amount of oxygen originating from the nanoparticle core is observed at 529.5 eV (Raiser and Deville 1991; Frohlich et al. 2006). The main peak at 531.9 eV in the O 1s spectra is mainly attributed to oxygens in acetate and carbonate groups which have similar binding energies (Mercier et al. 2006; Gonzalez-Elipe et al. 1990). Moreover the small peak above 536 eV is most likely related to hydroxide groups and/or water adsorbed to the nanoparticle surface (Jeon and Hwang 2003; Hugenschmidt et al. 1994; Chiba et al. 2000).

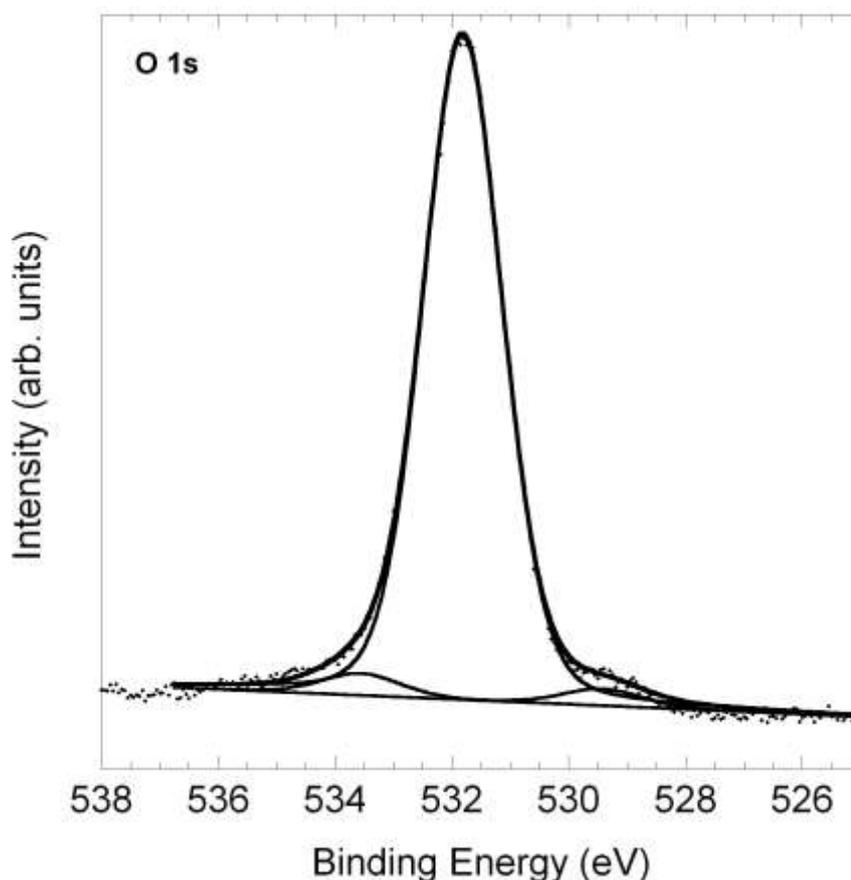


Figure 10 O 1s XPS spectrum of Gd_2O_3 nanoparticles

With the relatively high sensitivity factor of Gd 3d taken into account, the low intensity of the Gd 3d peaks in Figure 11 further verifies a suppressed Gd signal from the core of nanoparticle. The peak positions of the 3d lines in Gd₂O₃ are shifted to higher binding values (1188 eV, 1220 eV) compared to the corresponding elemental Gd 3d_{5/2} and Gd 3d_{3/2} at 1186 and 1218 eV respectively, as expected. The spin orbit splitting is 32 eV in agreement with previous reported data for Gd₂O₃ (Raiser and Deville 1991). The C (1s) XPS spectrum of Gd₂O₃ nanoparticles is shown in Figure 12 with four peaks fitted to the experimental data. Chemical shifts of the carbon peaks are known to probe the chemical environment by means of nearest and next nearest neighbors (Watts and Wolstenholme 2003). The commonly observed chemical shifts in C (1s) spectra are dominated by the very nearest neighbor atoms. According to Gelius (Gelius et al. 1970) a shift of 0.3 eV to higher binding energies is expected for methyl carbon in an acetate group compared to a reference hydrocarbon value of 285 eV. This fairly small shift is not obvious in our spectra, and the peak at 285 eV is attributed mainly to methyl carbon present in Gd acetate but also to an additional contribution of adventitious aliphatic carbon present as contamination. The peak at 286.4 is primarily attributed to nitrogen bound carbon present in TMAH. Presence of TMAH was also confirmed with IR Spectroscopy. Hydroxyl bound carbons have similar binding energies and may also be part of the same peak at 286.4 eV. Peaks associated with acetate carbonyl carbon (288.6 eV) and carbonate carbon (289.2 eV) are both observed at higher binding energies. The peak to peak separation of the two carbons in acetate (285 eV and 288.6 eV) equals 3.6 eV, which is a value in agreement with previous reported data concerning acetate binding energies on metal oxides (Vohs and Barteau 1988).

In conclusion, the results from NEXAFS, XPS and IR Spectroscopy validate that the nanoparticle surface is covered with acetate and carbonate groups which are expected to stabilize the Gd₂O₃ core in water.

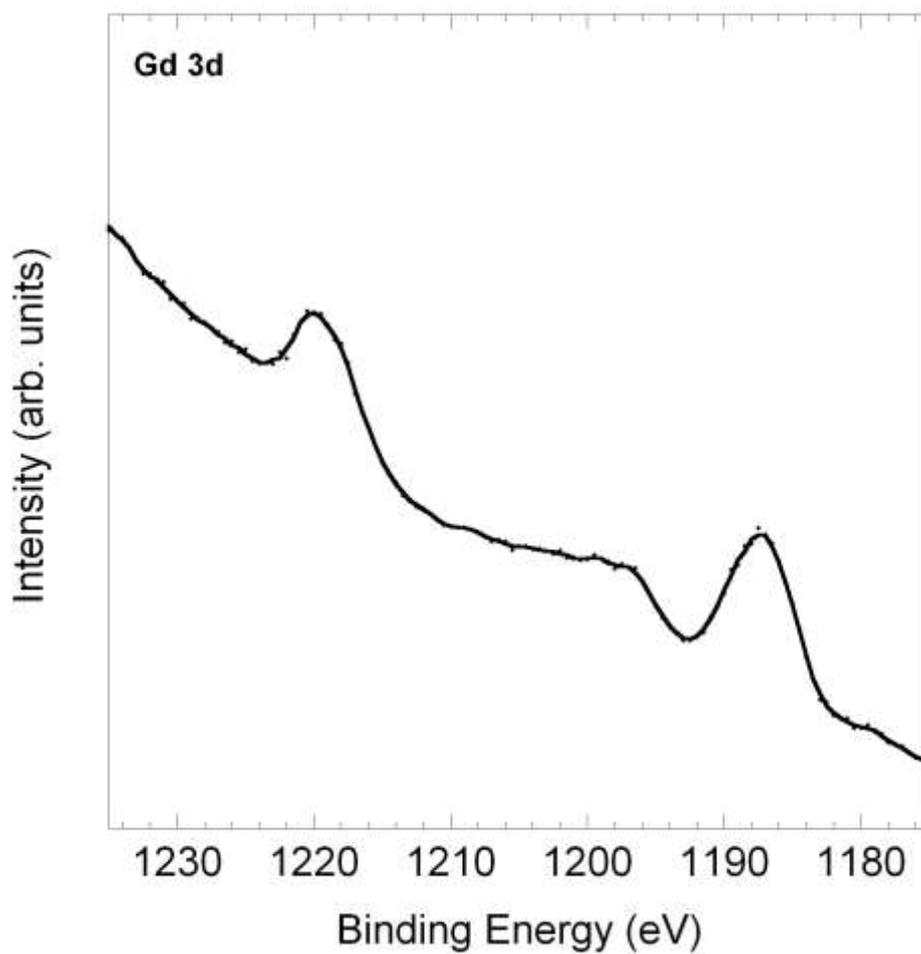


Figure 11 Smooth curve fitted Gd 3d XPS spectrum of Gd₂O₃ nanoparticles

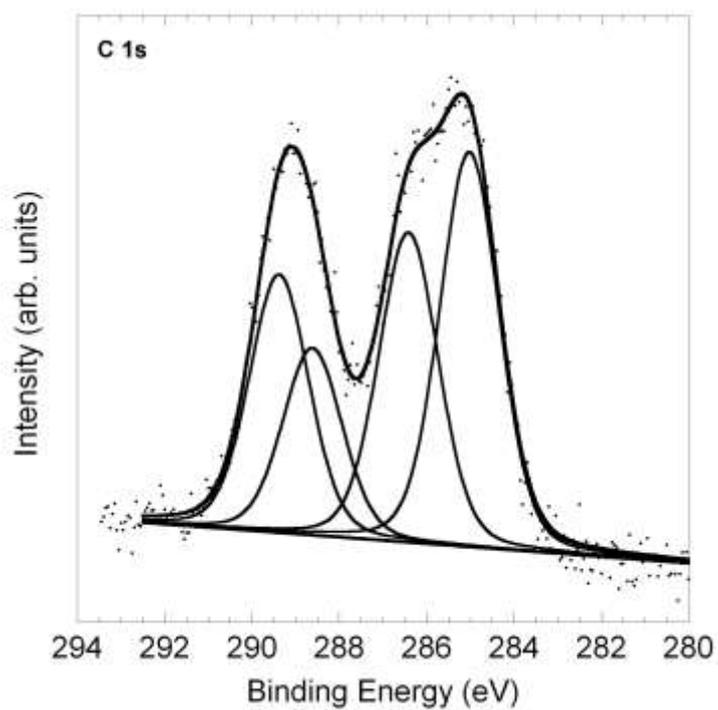


Figure 12 C 1s XPS spectrum of Gd₂O₃ nanoparticles

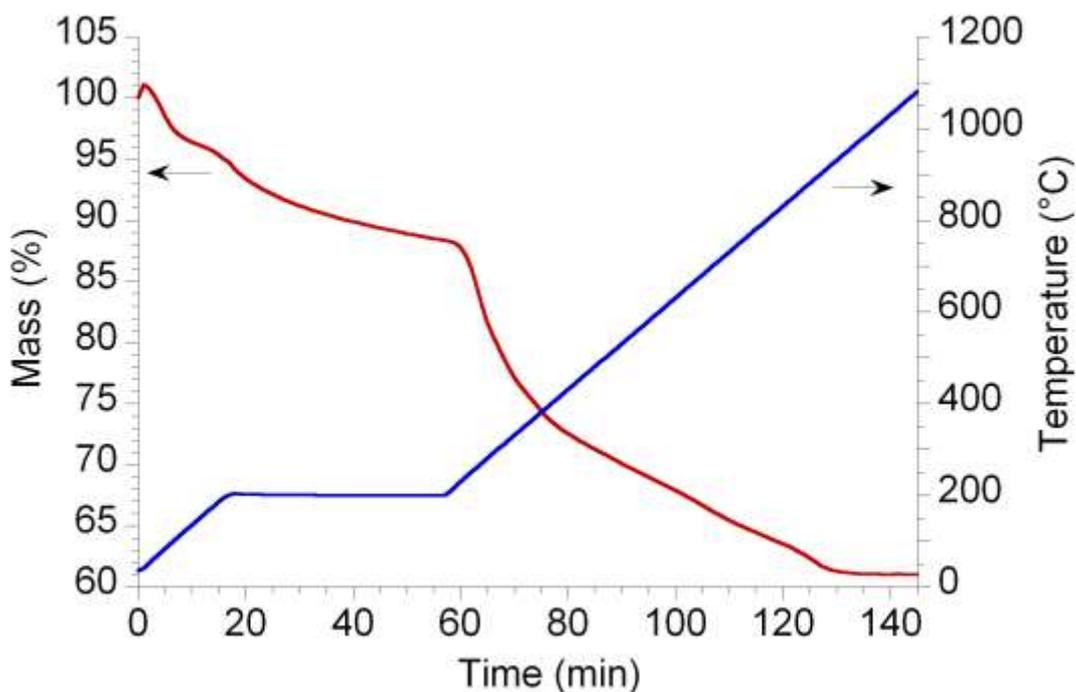


Figure 13 Mass change upon heating of Gd_2O_3 nanoparticles in the TG measurement.

The Thermogravimetric (TG) curve in Figure 13 shows about 40 % mass loss of an as-synthesized and air dried Gd_2O_3 nanoparticle sample upon heating to 1100 °C from room temperature in He. Qualitative data obtained by mass spectrometry showed that already at low temperatures (~ 75 °C) H_2O is emitted, which is attributed to adsorbed water. At ~ 260 °C decomposition of organic species starts giving emission of mainly H_2O , CO_2 and CO/N_2 . The emission of CO_2 continues up to about 900 °C indicating decomposition of carbonates. The TG-MS data supports the general picture of the chemical composition of the nanoparticles as presented above.

Relaxation studies

Relaxation measurements were performed on Gd_2O_3 nanoparticles in MilliQ and the relaxivity results were compared with data obtained for an aqueous solution of $GdCl_3$, and a common commercial contrast agent (Gd-DTPA, Magnevist). Plots of the inverted relaxation times T_1 and T_2 vs. the Gd concentrations are shown in Figure 14 and Figure 15 respectively. The absolute r_1 and r_2 values, and the relative r_2/r_1 ratios, for the studied samples are listed in Table 4. As one would expect, the r_1 and r_2 relaxivities of Gd-DTPA (3.5 and 4.1 $mM^{-1}s^{-1}$ respectively)

are low compared to those for free Gd^{3+} ions in water (7.9 and 9.0 $\text{mM}^{-1}\text{s}^{-1}$ respectively). This observation is true for all chelate type contrast agent and is a consequence of the increased activation barrier and thereby the slower water exchange rates for complexes. (Helm and Merbach 2005) The relaxivity values for the Gd_2O_3 nanoparticles (6.9 and 7.9 $\text{mM}^{-1}\text{s}^{-1}$) are remarkably close to those for free Gd^{3+} ions, and about twice as high as those for Gd-DTPA. It is clear that compared to earlier studied dialyzed polyol synthesized Gd_2O_3 nanoparticles in MilliQ, which have shown a smaller difference in relaxivity compared to Gd-DTPA (Engström et al. 2006; Ahrén et al. 2010), the present nanoparticles appear to have considerably more efficient MR contrast enhancement properties. The relative r_2/r_1 ratio for Gd_2O_3 is close to one (Table 4), which is preferable for a positive contrast agent.

Table 4 r_1 and r_2 relaxivities together with the r_2/r_1 ratio for GdCl_3 , Gd_2O_3 and Magnevist.

Agent	r_1 ($\text{mM}^{-1}\text{s}^{-1}$)	r_2 ($\text{mM}^{-1}\text{s}^{-1}$)	r_2/r_1
GdCl_3	7.9	9	1.14
Gd_2O_3	6.9	7.9	1.14
Magnevist	3.5	4.1	1.17

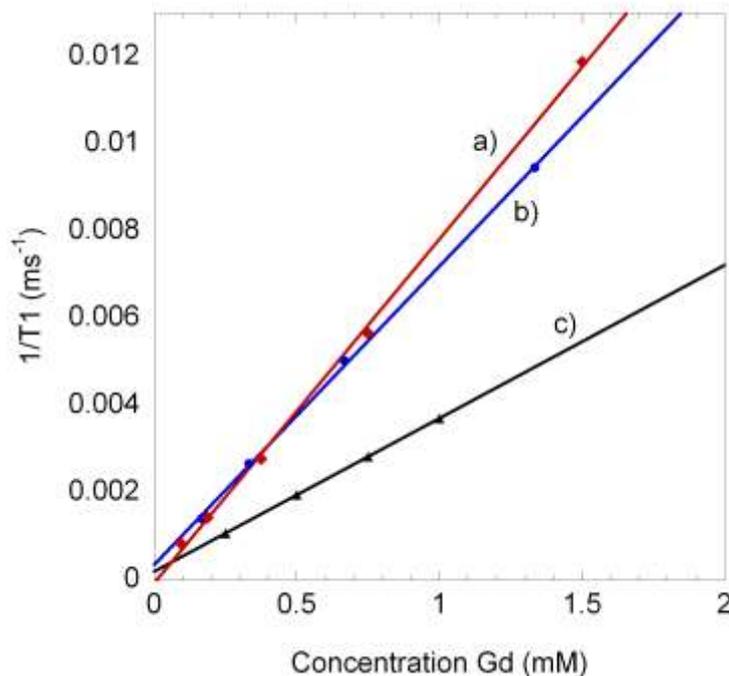


Figure 14 Experimentally measured longitudinal relaxation of a) GdCl_3 in MilliQ water, b) Gd_2O_3 nanoparticles in MilliQ water and c) Magnevist.

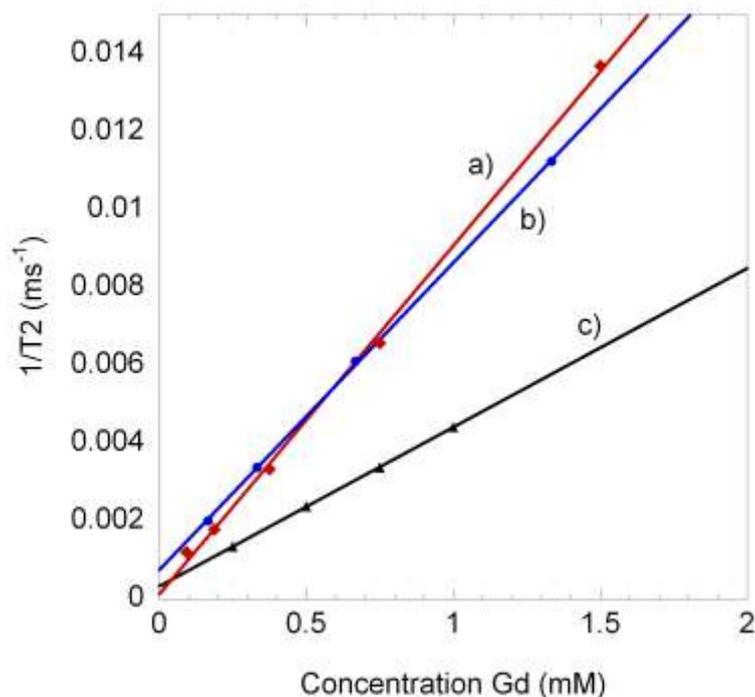


Figure 15 Experimentally measured transverse relaxation of a) GdCl_3 in MilliQ water, b) Gd_2O_3 nanoparticles in MilliQ water and c) Magnevist

CONCLUSION

It has been demonstrated that small Gd_2O_3 nanocrystals can be synthesized via a simple and fast polyol free route at room temperature by using a method previously developed for the synthesis of ZnO. The nanoparticle core size, as determined by TEM, was approximately 4-5 nm, and the hydrodynamic radius of the particles dispersed in water, as measured by DLS, was of the order 5-10 nm. The chemical composition of the Gd_2O_3 nanoparticles was studied with several spectroscopic techniques (IR, NEXAFS, XPS) and with TG-MS. On the basis of IR, NEXAFS and XPS carbonate formation on the nanoparticle surface was verified inducing a suppressed nanoparticle Gd signal in XPS. The nanoparticle surface was also shown to contain acetate molecules stabilizing the particles in water solution, as well as adsorbed water. The interpretation of the experimental IR data was corroborated by theoretical calculations on three different gadolinium acetate structures, of which the dimer complex $([\text{Gd}(\text{OAc})_3(\text{H}_2\text{O})_2]_2)$ was found to be the most relevant one for the studied systems. The MR properties, as measured with a benchtop TD-NMR analyzer, yielded the values 6.9 and 7.9 $\text{mM}^{-1}\text{s}^{-1}$ for r_1

and r_2 , respectively. These values are considerably higher than those found for the Gd-DTPA chelate and in the same range as those for free Gd^{3+} in water.

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